

Winton, Kathryn

From: Smith, Joseph
Sent: Wednesday, December 14, 2005 3:43 PM
To: Winton, Kathryn
Subject: FW: Dayanand Medical College & Hospital

-----Original Message-----

From: Robertson, Stephanie
Sent: Wednesday, December 14, 2005 3:22 PM
To: 'dmch_ldh@satyam.net.in'
Cc: Smith, Joseph; Hernelt, Barbara
Subject: Dayanand Medical College & Hospital

December 13, 2005

Dear Mr. Brij Mohan Lal Munjal

Attached please find an electronic copy of an important notice from the United States Department of Education regarding your institution's eligibility to participate in the Federal Family Loan Programs. The letter requires a response from your institution within 45 days.

Please bring this information to the attention of the appropriate officials at your institution.



Dayanand Medical
College & Hos...



UNITED STATES DEPARTMENT OF EDUCATION

**FEDERAL STUDENT AID
SCHOOL ELIGIBILITY CHANNEL
FOREIGN SCHOOLS TEAM
WASHINGTON, DC 20202-5340**

DEC 14 2005

Dr. Daljit Singh
Principal
Dayanand Medical College & Hospital
Civil Lines
Ludhiana, Punjab
India
141001

**RE: Final Request for Documents
Recertification Application
US Federal Student Aid
OPE-ID: 02164600**

Dear Dr. Singh:

The Foreign Schools Team in the School Eligibility Channel, of the United States Department of Education (Department), has reviewed the recertification *Application for Approval to Participate in Student Financial Aid Programs* (Application) submitted by Dayanand Medical College & Hospital (Institution), Ludhiana, Punjab, India on December 12, 2002. We have determined that your institution has not provided the required information that would enable the Department to complete its review and make a determination of eligibility.

The Department needs to receive the following information or documents in order to complete the recertification process:

1. A **catalog or prospectus** for the most recent academic year, which includes the admissions policy, satisfactory academic progress policy, refund policy, and information on all educational program and locations;
2. **Alternative Compliance Engagement** for the fiscal year ended 3/31/2002;
3. **Alternative Compliance Engagement** for the fiscal year ended 3/31/2001;
4. **Alternative Compliance Engagement** for the fiscal year ended 3/31/2000;
5. **Audited Financial Statements** for the fiscal year 3/31/2005;
6. **Audited Financial Statements** for the fiscal year 3/31/2004;

7. **Audited Financial Statements** for the fiscal year 3/31/2003;
8. **Audited Financial Statements** for the fiscal year 3/31/2002;
9. **Audited Financial Statements** for the fiscal year 3/31/2001;
10. **Audited Financial Statements** for the fiscal year 3/31/2000;
11. **Current legal authorization and accreditation, with a certified English translation**, from the Medical Council of India to provide graduate medical education;
12. **Current legal authorization and its certified English translation** covering all educational programs for which your institution seeks eligibility;
13. A response to the emails dated September 1, 2005 and September 20, 2005 from Kate Winton. Sections A, C, I, K, L, and M of the electronic application must still be completed as described;

In Question 69 of the application, your Institution has indicated that they consider the questions in Section K not applicable to Dayanand Medical College & Hospital. These questions directly reference the Department's regulations, which Dayanand agreed to when the Principal signed the program participation agreement. Dayanand must become compliant with these requirements and must check "yes" to every question (except for question 64, which does not apply to Foreign Schools). When you have made these changes, please delete the comments in Section K.

14. Clarification on the institution's affiliations to Punjab University and to Baba Farid University of Health Sciences;

We have previously informed your Institution of these requirements in the following manners:

- On October 12, 2005, email and facsimile to Ms. Anuradha Langar from Kate Winton
- On September 20, 2005, email and facsimile to Ms. Anuradha Langar from Kate Winton
- On September 19, 2005, facsimile to Dr. Daljit Singh from Kate Winton
- On September 6, 2005, email to Dr. Daljit Singh from Kate Winton
- On September 1, 2005, email to Dr. Daljit Singh, from Kate Winton
- On August 25, 2003, letter to Dr. Subhash Chander Ahuja from M. Geneva Coombs
- On November 24, 2000, letter to Dr. Subhash Chander Ahuja from M. Geneva Coombs

The Institution is required to submit the documents and information listed above to support its Application. Annual submission of audited financial statements is also one of the Institution's reporting obligations as a participant in the Department's Federal Student Aid

programs. These reporting requirements are set forth in the Department's regulations, handbooks and "Dear Colleague" letters to participating institutions.

In August 2003, the Department issued a "Dear Colleague" letter to all foreign schools that participate in the FFEL programs, to remind them that each foreign school that enrolls U.S. students who receive FFEL funds must submit yearly a compliance audit and audited financial statements. A copy of the August 2003 "Dear Colleague" letter, GEN-03-10, is attached to this notice.

According to our records, we have not received audited financial statements and compliance audits that conform to the Department's requirements.

This letter notifies you that the Department will consider your Application incomplete and deny your request for continuing eligibility under the FFEL programs unless all of the missing or incomplete items listed above are received in our office by 45 calendar days from the date of this letter.

Please forward the documentation to the following address, if by mail:

United States Department of Education
Federal Student Aid, School Eligibility Channel
Attention: Kate Winton, Foreign Schools Case Team
Union Center Plaza, 73F1
830 First Street, NE
Washington, DC 20202-5340

Alternatively, you may send the documents to the Foreign Schools Team by fax to the following fax number: 202-275-3486.

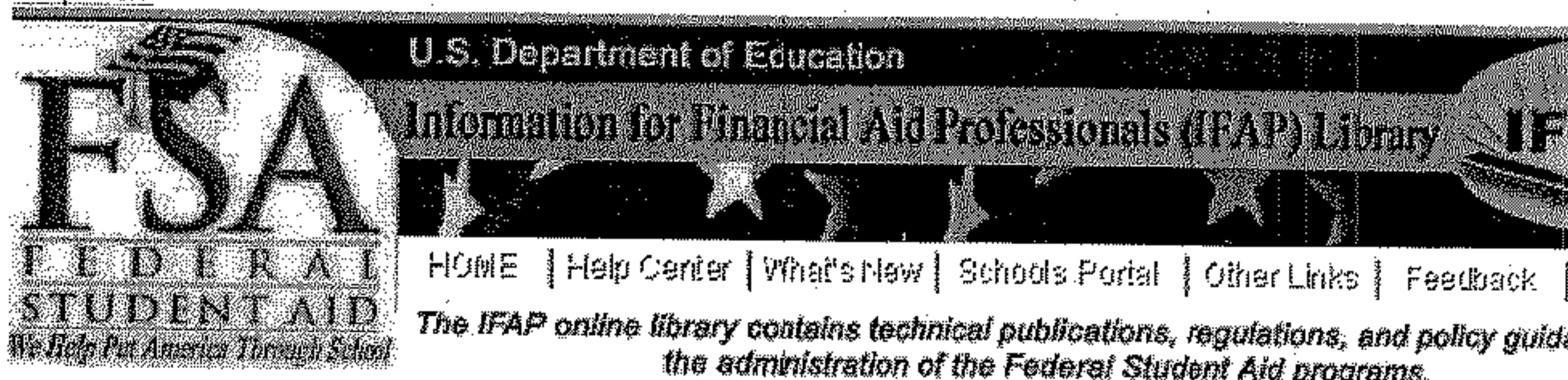
If you have any questions concerning this letter, you may contact Ms. Kate Winton, a member of the Foreign Schools Team. She may be reached by phone at (202) 377-3280 or by email at kathryn.winton@ed.gov.

Sincerely,



M. Geneva Coombs, Director
School Participation Teams – Northeast

Courtesy copy sent in PDF format by electronic mail to:
Mr. Brij Mohan Lal Munjal, Chairman, Managing Society
dmch_ldh@satyam.net.in

[Skip Nav](#)The header features a dark background with a large, stylized 'FSA' logo on the left. To the right, the text 'U.S. Department of Education' is displayed above 'Information for Financial Aid Professionals (IFAP) Library'. Below this, a navigation menu includes links for 'HOME', 'Help Center', 'What's New', 'Schools Portal', 'Other Links', and 'Feedback'. A descriptive sentence states: 'The IFAP online library contains technical publications, regulations, and policy guides the administration of the Federal Student Aid programs.'

U.S. Department of Education
Information for Financial Aid Professionals (IFAP) Library
HOME | Help Center | What's New | Schools Portal | Other Links | Feedback |
The IFAP online library contains technical publications, regulations, and policy guides the administration of the Federal Student Aid programs.

Publication Date: August 2003

DCL ID: GEN-03-10

Reminder to foreign schools of various Title IV requirements

Posted on 08-27-2003

August 2003

GEN-03-10

Subject: Reminder to foreign schools of various Title IV requirements

SUMMARY: This letter reminds foreign schools that participate in the U.S. Federal Family Education Loan (FFEL) program of various requirements that must be followed. Foreign schools that are out of compliance must take prompt corrective action as detailed below.

Dear Foreign School Colleague:

As you know, an institution's participation in the Federal Family Education Loan (FFEL) program is governed by U. S. laws and regulations. These requirements help ensure that student financial assistance is being used for the purposes authorized by the law. The following is a summary of a number of requirements frequently overlooked by institutions located outside of the United States that participate in the FFEL program.

Required annual submission of Title IV audits

Every foreign school that enrolls U.S. students who receive FFEL program funds for attendance at that institution must submit yearly a compliance audit and audited financial statements. The annual submission of both a compliance audit and audited financial statements is required of all Title IV participating institutions by Section 487 (c) of the Higher Education Act of 1965, as amended (HEA), 20 U.S.C. § 1094(c), and section 668.23 of Title 34 of the U.S. Code of Federal Regulations (CFR). Audits must be submitted no later than six months after the last day of the institution's fiscal year.

A compliance audit for a foreign school covers an institution's administration of the U.S. FFEL program, while audited financial statements provide the Department of Education (the Department) with information necessary to evaluate an institution's financial responsibility. An independent auditor must perform both audits. An "independent auditor" is an independent certified public accountant or a government auditor. A government auditor must meet the U.S. Government Auditing Standards qualification and independence standards, including standards related to

organizational independence.

Audits for foreign schools must be performed in accordance with the Department's audit guide. The specific requirements are in the *Foreign School Audit Guide* that the Department issued in September 2002. For foreign schools, this guide replaces the guide entitled *Audits of Federal Student Financial Assistance Programs at participating Institutions and Institution Servicers*. The *Foreign School Audit Guide* is available at the following website: <http://www.ed.gov/offices/OIG/nonfed/index.html>

If U.S. students who attended your institution received \$500,000 or more (in U.S. dollars) in FFEL program funds for a fiscal year, you must:

- have your required audited financial statement translated for analysis according to U.S. Generally Accepted Accounting Principles (GAAP); and
- have your compliance audit performed under the standard compliance engagement specified in the *Foreign School Audit Guide*.

If U.S. students who attended your institution received less than \$500,000 (in U.S. dollars) in FFEL program funds per fiscal year, you may either follow the required standards for institutions with funding levels of \$500,000 or more, or:

- have your required audited financial statement prepared according to the generally accepted accounting principles and auditing standards of your institution's home country; and
- have your compliance audit performed under the alternative compliance engagement specified in the *Foreign School Audit Guide*.

As explained in the *Foreign School Audit Guide*, both the audited financial statements (if students receive more than \$500,000) and the compliance audits must be audited in compliance with the generally accepted government auditing standards of the United States of America (U.S. Government Auditing Standards).

These standards are developed by the Comptroller General of the United States and are published in The General Accounting Office (GAO) Government Auditing Standards. These standards are available at the following website: <http://www.gao.gov/govaud/ybk01.htm>

Every effort should be made to ensure that audits are conducted in accordance with U.S. Government Auditing Standards. Auditors who cannot comply with some or all of the requirements of U.S. Government Auditing Standards must identify in their reports what auditing standards were used to perform the work and identify how those standards differ from U.S. Government Auditing Standards. Auditors that identify the specific requirements from U.S. Government Auditing Standards with which they cannot comply must identify the portions of the audit related to these requirements and must indicate that they have otherwise complied with the U.S. Government Auditing Standards. Audits that do not indicate full compliance with U.S. Government Auditing Standards will be reviewed by the Department, and the Department will determine whether to accept the audits. Audits that do not provide an adequate explanation of why it was not possible to meet the audit standards set out in the regulations will be rejected.

Resolution of audits for past periods

Institutions with an FFEL loan volume of \$500,000 or greater per year who have overdue audits received a letter from the Department's Federal Student Aid (FSA) office informing the institution of required actions in December 2002. This letter serves as a reminder of the urgent need for such institutions to respond promptly to the issues raised in that letter. Failure to comply may result in administrative actions.

To fulfill audit requirements for past periods, institutions with an FFEL loan volume of less than \$500,000 per year that have not done so must submit compliance audits for the three most recently completed fiscal years and financial statements for the two most recently completed fiscal years. These institutions will receive a letter from the Department's FSA office specifying which of these required audits have not been submitted. The institution must submit a letter to the Department within 45 days of the date of the FSA letter demonstrating that the institution has engaged an auditor to perform all audits for these periods that have not yet been submitted. The institution must submit these audits within 90 days of the date of the FSA letter.

All participating institutions must continue to submit audits for subsequent completed fiscal years within six months of the end of the institution's fiscal year.

Responding to Student Status Confirmation Reports

A Student Status Confirmation Report (SSCR) is used to update a student's loan record regarding enrollment and ensures that repayment of the loan begins as required. Most foreign schools will receive paper SSCRs that contain data about students who have borrowed for attendance at their school directly from the guaranty agencies guaranteeing loans for students at the school. Within 30 days of receiving the SSCR, your institution must review the data, make any necessary changes, and return the SSCR with the changes to the guaranty agency that sent it. Your institution should also document the return of the SSCRs and make this information available to your auditors as proof of compliance with the SSCR requirement. Similarly, if your institution reports SSCR data electronically through the Department's National Student Loan Data System, you must update the data and submit changes within 30 days. Updating this information timely is one part of the institution's requirements to demonstrate it has the administrative capability to participate in the FFEL program.

Obtaining the Student Aid Report Prior to Certifying Loans

When a student completes the Free Application for Federal Student Aid (FAFSA), the FAFSA is submitted to the Department and the information on it is matched against other federal agency data systems to ensure the accuracy of the data presented (e.g., the student's Social Security Number (SSN) is matched against the Social Security Administration data system to ensure an accurate SSN.) Once the student's application is received and reviewed, a Student Aid Report (SAR) is generated by the Department and sent to the student. Your institution must obtain a copy of this report for each student applying for a FFEL loan before your institution completes processing the loan application.

In the alternative, this report may be sent to the institution as an electronic file (Institutional Student Information Report - ISIR), if the institution has been granted approval to participate electronically. At this time, the Department's computer security policy requires any user of our electronic database to have a U. S. SSN. As a result, many foreign schools are unable to participate electronically or to receive the electronic student records. Therefore, if you are not receiving ISIRs, your institution must obtain a copy of the paper SAR from the student prior to certifying or disbursing any FFEL program funds to or for his or her account.

Your institution must also review and resolve any comments on the SAR and retain a copy of the SAR in the student's file. Please refer to Chapter 3 of the *SFA Handbook for Foreign Schools* for instructions in resolving all comments on the SAR prior to certifying a loan application. This handbook is posted at www.ifap.ed.gov. Your institution may be held liable for losses to the Department if it certifies an FFEL loan to an ineligible student based on the institution's failure to obtain or review the student's SAR or ISIR.

A lender or guaranty agency may also request a copy of the SAR from you prior to disbursing loan funds to a student. You must comply with this request. The lenders and guaranty agencies also have student eligibility requirements that must be met and may need this information to complete their process. Students provide the necessary privacy permissions on the FAFSA and FFEL loan application that allow schools, guaranty agencies, lenders and the Department to share this information.

Responding to All Requests for Information from Lenders and Guaranty Agencies

The Department is requiring guaranty agencies to verify student enrollment and eligibility with foreign institutions prior to disbursing FFEL funds to a student, who requests that the FFEL check be sent directly to him or her. In accordance with Department regulations, your institution must use an adequate number of qualified staff, which at the least must consist of one capable individual to administer the FFEL program (34 CFR 668.16(b)), and respond to these requests for information from guaranty agencies. When your institution certifies an FFEL loan application, you must ensure that the institutional contact listed on the application is the individual capable of responding to the guaranty agency when they contact you to verify acceptance for enrollment and eligibility of the student loan borrower. As we have advised you in the past, the individual who is authorized to certify FFEL loan applications for



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF POSTSECONDARY EDUCATION

August 2003

THE ASSISTANT SECRETARY

Subject: Reminder to foreign schools of various Title IV requirements

SUMMARY: This letter reminds foreign schools that participate in the U.S. Federal Family Education Loan (FFEL) program of various requirements that must be followed. Foreign schools that are out of compliance must take prompt corrective action as detailed below.

Dear Foreign School Colleague:

As you know, an institution's participation in the Federal Family Education Loan (FFEL) program is governed by U. S. laws and regulations. These requirements help ensure that student financial assistance is being used for the purposes authorized by the law. The following is a summary of a number of requirements frequently overlooked by institutions located outside of the United States that participate in the FFEL program.

Required annual submission of Title IV audits

Every foreign school that enrolls U.S. students who receive FFEL program funds for attendance at that institution must submit yearly a compliance audit and audited financial statements. The annual submission of both a compliance audit and audited financial statements is required of all Title IV participating institutions by Section 487(c) of the Higher Education Act of 1965, as amended (HEA), 20 U.S.C. § 1094(c), and section 668.23 of Title 34 of the U.S. Code of Federal Regulations (CFR). Audits must be submitted no later than six months after the last day of the institution's fiscal year.

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Audits for foreign schools must be performed in accordance with the Department's audit guide. The specific requirements are in the *Foreign School Audit Guide* that the Department issued in September 2002. For foreign schools, this guide replaces the guide entitled *Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers*. The *Foreign School Audit Guide* is available at the following website:

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- have your required audited financial statement prepared according to the generally accepted accounting principles and auditing standards of your institution's home country; and
- have your compliance audit performed under the alternative compliance engagement specified in the *Foreign School Audit Guide*.

As explained in the *Foreign School Audit Guide*, both the audited financial statements (if students receive more than \$500,000) and the compliance audits must be audited in compliance with the generally accepted government auditing standards of the United States of America (U.S. Government Auditing Standards). These standards are developed by the Comptroller General of the United States and are published in The General Accounting Office (GAO) Government Auditing Standards. These standards are available at the following website:
<http://www.gao.gov/govaud/ybk01.htm>

Every effort should be made to ensure that audits are conducted in accordance with U.S. Government Auditing Standards. Auditors who cannot comply with some or all of the requirements of U.S. Government Auditing Standards must identify in their reports what auditing standards were used to perform the work and identify how those standards differ from U.S. Government Auditing Standards. Auditors that identify the specific requirements from U.S. Government Auditing Standards with which they cannot comply must identify the portions of the audit related to these requirements and must indicate that they have otherwise complied with the U.S. Government Auditing Standards. Audits that do not indicate full compliance with U.S. Government Auditing Standards will be reviewed by the Department, and the Department will determine whether to accept the audits. Audits that do not provide an adequate explanation of why it was not possible to meet the audit standards set out in the regulations will be rejected.

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All participating institutions must continue to submit audits for subsequent completed fiscal years within six months of the end of the institution's fiscal year.

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In the alternative, this report may be sent to the institution as an electronic file (Institutional Student Information Report - ISIR), if the institution has been granted approval to participate electronically. At this time, the Department's computer security policy requires any user of our electronic database to have a U. S. SSN. As a result, many foreign schools are unable to participate electronically or to receive the electronic student records. Therefore, if you are not receiving ISIRs, your institution must obtain a

copy of the paper SAR from the student prior to certifying or disbursing any FFEL program funds to or for his or her account.

Your institution must also review and resolve any comments on the SAR and retain a copy of the SAR in the student's file. Please refer to Chapter 3 of the *SFA Handbook for Foreign Schools* for instructions in resolving all comments on the SAR prior to certifying a loan application. This handbook is posted at www.ifap.ed.gov. Your institution may be held liable for losses to the Department if it certifies an FFEL loan to an ineligible student based on the institution's failure to obtain or review the student's SAR or ISIR.

A lender or guaranty agency may also request a copy of the SAR from you prior to disbursing loan funds to a student. You must comply with this request. The lenders and guaranty agencies also have student eligibility requirements that must be met and may need this information to complete their process. Students provide the necessary privacy permissions on the FAFSA and FFEL loan application that allow schools, guaranty agencies, lenders and the Department to share this information.

Responding to All Requests for Information from Lenders and Guaranty Agencies

The Department is requiring guaranty agencies to verify student enrollment and eligibility with foreign institutions prior to disbursing FFEL funds to a student, who requests that the FFEL check be sent directly to him or her. In accordance with Department regulations, your institution must use an adequate number of qualified staff, which at the least must consist of one capable individual to administer the FFEL program (34 CFR 668.16(b)), and respond to these requests for information from guaranty agencies. When your institution certifies an FFEL loan application, you must ensure that the institutional contact listed on the application is the individual capable of responding to the guaranty agency when they contact you to verify acceptance for enrollment and eligibility of the student loan borrower. As we have advised you in the past, the individual who is authorized to certify FFEL loan applications for your institution must be the individual that you have listed as your institutional contact in the Department's Postsecondary Education Participants System (PEPS) Database. If a guaranty agency receives a loan application that lists as your contact an individual other than the one you have listed in PEPS, the guaranty agency will contact your institution to resolve the discrepancy.

Submitting All Appropriate Documents for Recertification Requests

All institutions approved to participate in the FFEL program must comply with institutional recertification requirements. These requirements are described in Chapter 2 of the *SFA Handbook for Foreign Schools*. In general, every four to six years, a foreign institution wishing to continue participation in the FFEL program must apply for renewal of the Department's certification of its institutional eligibility and participation. Your current Program Participation Agreement (PPA) includes the date that your current certification expires. The Department generally reminds each institution 120 days prior to the end of its period of participation that it must submit an electronic application to renew its participation in the Title IV programs. However, you are responsible for applying for recertification even if you do not receive a reminder. Your institution must

comply with that submission requirement and ensure that all required documents are timely submitted. Otherwise, your participation in the FFEL program will end. To ensure that there is no lapse in your FFEL participation, you must submit your recertification application no later than 90 days before your certification expiration date. If you do, your institution's eligibility to participate will continue until your application is either approved or not approved.

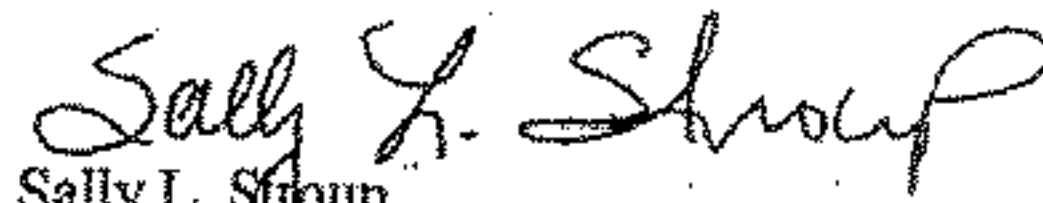
Referrals to the Department's Office of Inspector General & Other Contacts

If your institution finds that a student may have engaged in a fraudulent submission of information or other criminal misconduct in applying for FFEL program funds, you must refer this information to our Office of Inspector General (OIG). Fraudulent activities may include the use of false identities, forgery of signatures or certifications, and false claims of income, citizenship, or independent student status. The OIG may be reached at 1-800-MIS-USED (1 800-647-8733) or 202-205-5770, by fax at 202-260-0230, and by e-mail at oig.hotline@ed.gov.

It is also important that you communicate regularly with the appropriate guaranty agencies and lenders involved in making FFEL program loans to your students. If at any time you suspect unusual student actions or information provided on applications, please contact the appropriate guaranty agency to seek advice and/or clarification. These agencies are also very helpful in clarifying some of the more difficult issues involved in awarding FFEL loans to students. A complete listing of these agencies and their appropriate contact information is contained in Appendix D of the *SFA Handbook for Foreign Schools*.

Thank you for your continued participation in the FFEL program. If you have any questions regarding this letter, please contact the Department's Foreign Schools staff at 202-377-3168 in the U.S. or fsa.foreign.schools.team@ed.gov. Thank you again for your cooperation in complying with these requirements. We look forward to working with your institution.

Sincerely,


Sally L. Shoup

cc: Financial Aid Contact Office



JUN - 9 2006

Dr. Daljit Singh
Principal
Dayanand Medical College & Hospital
Civil Lines
Ludhiana, Punjab
INDIA
141001

Sent By FED EX
Tracking No. 8515 5000 6867

OPE: 02164600

RE: NOTICE OF DENIAL OF RECERTIFICATION APPLICATION TO PARTICIPATE IN TITLE IV STUDENT FINANCIAL ASSISTANCE PROGRAMS EFFECTIVE ON THE LAST DAY OF THE MONTH OF THIS LETTER

Dear Dr. Singh:

The Foreign Schools Team in the School Eligibility Channel of the United States Department of Education (Department) has completed its review of the recertification *Application for Approval to Participate in Student Financial Aid Programs* (Application) submitted on behalf of Dayanand Medical College & Hospital, Ludhiana, Punjab, India (Institution) on 12/11/2002. The Department's review determined that your Institution does not qualify as an eligible institution to participate in the Department's Title IV Federal Student Aid Programs, specifically the Federal Family Education Loan (FFEL) Programs, because your Institution failed to provide the required information that would enable the Department to complete its review.

The Department made efforts to obtain from your Institution the information necessary to continue consideration of your Institution's Application for approval to continue participation in the FFEL programs. The Department sent Dr. Singh a letter on December 14, 2005 with a final request for the missing documents and information we required.

The following is the information we requested from your institution, but never received:

1. Audited financial statements for the fiscal years 2000, 2001, 2002, 2003, 2004, and 2005;
2. Alternative Compliance Engagements for the fiscal years 2000, 2001, and 2002;
3. A catalog or prospectus for the most recent year, which includes the admissions policy, satisfactory academic progress policy, refund policy, and information on all educational programs and locations;
4. Current legal authorization and accreditation, with a certified English translation, from the Medical Council of India to provide graduate medical education;

830 First St. N.E., Washington, DC 20202
www.FederalStudentAid.ed.gov
1-800-4-FED-AID

5. Current legal authorization and its certified English translation covering all educational programs for which your Institution seeks eligibility;
6. A response to the emails dated September 1, 2005 and September 20, 2005 from Kate Winton. These emails informed the Institution that Sections A, C, I, K, L, and M of the electronic Application were incomplete.
7. Clarification on the Institution's affiliations to Punjab University and to Baba Farid University of Health Sciences.

The items listed above are documents or data that the Institution is required to submit in support of its Application. Items #1 and #2 also relate to the Institution's annual reporting obligations as a participant in the Department's FSA programs. These reporting requirements are set forth in the Department's regulations, handbooks and in "Dear Colleague" letters to participating institutions.

The Department did receive a response from Dr. J. Whig, Acting Principal, who notified the Department that the Institution's policy is to not provide financial statements to any outside agency. Under the regulation 34 CFR 668.23(d)(3), a foreign institution must submit audited financial statements prepared in accordance with the generally accepted accounting principles of the institution's home country, if the institution received less than \$500,000 U.S. in title IV, HEA program funds during its most recently completed fiscal year.

Furthermore, in August 2003, the Department issued a "Dear Colleague" letter to all foreign schools that participate in the FFEL programs, to remind them that each foreign school that enrolls U.S. students who receive FFEL funds must submit yearly a compliance audit and audited financial statements. A copy of the August 2003 "Dear Colleague" letter, GEN-03-10, was attached to our December 14, 2005 letter to Dr. Singh. The Department's reminder letter provides guidance on the regulatory reporting requirements for foreign institutions set forth in 34 CFR 668.23.

According to our records, we have not received the required information that we have repeatedly requested from your Institution. Therefore, the Department has determined that your Institution's application for recertification is not materially complete and as a result, your Institution does not meet the eligibility requirements set out in 34 CFR 600.54, 600.55, 668.15, 668.23, and Subpart L of Part 668.

This letter notifies you that the Department is denying your Institution's recertification Application for the reasons stated above. As a result of the denial of the Institution's recertification Application, the end of the Institution's eligibility to participate in the FFEL Programs will be effective on the last day of the month of this letter.

Since your Institution no longer qualifies for participation in the FSA programs, it must not certify any FFEL program loans to first time borrowers, or process any loan deferments under the FFEL programs.

Under certain circumstances, currently enrolled U.S. citizens and eligible non-citizens who have previously borrowed FFEL loans to attend your Institution while it was still an eligible institution, may be eligible to receive an additional loan for the next academic year. For your information, I have reprinted, below, U.S. student aid regulation 34 CFR 600.56(c):

Notwithstanding the provisions of 34 CFR 668.26, if a foreign institution loses its eligibility under this subpart E, an otherwise eligible student, continuously enrolled at the institution before the loss of eligibility, may receive an FFEL program loan for attendance at that institution for the academic year succeeding the academic year in which the institution lost its eligibility, if the student actually received an FFEL program loan for attendance at the institution for a period during which the institution was eligible under subpart E.

Essentially, this regulation means that if a U. S. citizen or an eligible non-citizen has been continuously enrolled in an eligible program at Dayanand Medical College & Hospital and he/she received an FFEL program loan before the Institution's eligibility loss date, that student, assuming he/she is otherwise eligible, may receive an FFEL program loan for the academic year following the one in effect on the day your eligibility ends. This regulation was put into place to give U. S. citizens and eligible non-citizens that were enrolled at the time the school lost its eligibility an opportunity to complete their program or make other arrangements to attend another school that is eligible to participate in the FFEL programs. This provision, however, is not available to U. S. citizens and eligible non-citizens who have not previously borrowed FFEL program loans to attend your Institution.

We would also like to remind you of your residual obligations under the Title IV, HEA programs. A failure to satisfy these residual obligations would be germane to any future application the Institution may make for Reinstatement of eligibility to participate in the Title IV, HEA programs.

Record Retention

The Institution must inform the Department in writing of the arrangements made to ensure proper retention and storage of all records concerning your administration of the FFEL program for a minimum of three years. An Institution must keep records related to the FFEL program, including any reports or forms, for three years after the end of the award year in which the records are submitted. Program records related to a borrower's eligibility and receipt of FFEL loans must be kept for three years from the end of the award year the student last attended the school.

Close-out Audit

An institution, whose eligibility in the Title IV, HEA programs ends, is obligated to submit a close-out audit report. The close-out audit covers all Title IV, HEA funds received by the institution since the date of the last regularly scheduled independent audit to the Department. Our records indicate that the Institution has submitted no compliance audit reports. The Institution is not required to submit compliance audits for the fiscal years ended in 2003, 2004, and 2005, since the Institution had \$0 in disbursements for those years. Compliance audits for

the fiscal years ended in 2000, 2001, 2002, and 2006 are required, because Title IV funds were disbursed in those years. Therefore, the Institution must submit a close-out audit that covers the fiscal years ended in 2000, 2001, 2002, and 2006.

Application for Reinstatement

In the future, should Dayanand Medical College & Hospital demonstrate that it meets our institutional eligibility regulations and if the institution wishes to submit a new and materially complete application for Reinstatement, the Department will review that request and notify the institution of our determination. Our application is electronic and can be accessed through the Internet at: <http://www.eligcert.ed.gov>. The Department's consideration of any such submission will not automatically reinstate Dayanand Medical College & Hospital's participation while the information is reviewed.

Should you wish to apply for Reinstatement at a later date, the Foreign Schools Team will be pleased to assist you with your application. At that time, you may contact the Foreign Schools Team at (202) 377-3168 or at the following address:

U.S. Department of Education
Federal Student Aid, School Eligibility Channel
Attention: Foreign Schools Team
Union Center Plaza, 73C3
830 First Street, NE
Washington, DC 20002

If you have any questions regarding this letter, you may contact Kate Winton, a member of the Foreign Schools Team. Ms. Winton may be reached at (202) 377-3280 or by email at kathryn.winton@ed.gov

Sincerely,



M. Geneva Coombs, Director
School Participation Teams – Northeast

Courtesy copy sent in PDF format by electronic mail:
Dr. Daljit Singh and Mr. Brij Mohan Lal Munjal, Chairman, Managing Society.
dmch_ldh@satyam.net.in

Robertson, Stephanie

From: Robertson, Stephanie
Sent: Friday, June 09, 2006 11:48 AM
To: 'dmch_idh@satyam.net.in'
Cc: Winton, Kathryn
Subject: Dayanand Medical College and Hospital

June 9, 2006

Dear Dr. Singh and Mr. Lai Munjal,

Attached please find an electronic copy of an important notice from the United States Department of Education regarding your institution's eligibility to participate in the Federal Family Loan Programs.

Please bring this information to the attention of the appropriate officials at your institution.

If you have any questions regarding this letter, you may contact Ms. Kate Winton. She may be reached at 202-377-3280 or by email at Kathryn.Winton@ed.gov



Dayanand Medical
College & Hos...

DAYANAND MEDICAL COLLEGE AND HOSPITAL
LUDHIANA

Ref. No. DMCH/P/06/08

Dated: 09/01/06 ^{as in} January 9.

M. Geneva Coombs
Director
School Participation Teams – Northeast

You are requested to note that as a policy of the institution audited details or financial statements cannot be provided to any outside agency. Any inconvenience is regretted

With regards
Yours sincerely



Dr. J. Whig
Actg. Principal
DMC&H,
Ludhiana

Winton, Kathryn

From: VIKRAM BHANOT [dmch_ldh@sify.com]
Sent: Friday, January 13, 2006 3:45 AM
To: Winton, Kathryn
Subject: From DMCH



ATT43073.htm



DAYANAND
ICAL COLLEGHE AN

*** TX REPORT ***

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RESULT OK



UNITED STATES DEPARTMENT OF EDUCATION
SCHOOL ELIGIBILITY CHANNEL
SCHOOL PARTICIPATION TEAMS
WASHINGTON, DC 20202-5340

FAX

Federal Student Aid

Date: October 12, 2005

To: Anuradha Langar

From: Kate Winton

Phone:

Phone: 202-377-3280

Fax: 91-161-2302620

Fax: 202-275-3486

- Urgent For Review Please Comment Please Reply Please Recycle

Comments:

Dear Ms. Langar,

Here is a copy of the email I have just sent to you.

Kate Winton



UNITED STATES DEPARTMENT OF EDUCATION
SCHOOL ELIGIBILITY CHANNEL
SCHOOL PARTICIPATION TEAMS
WASHINGTON, DC 20202-5340

FAX

Federal Student Aid

Date: October 12, 2005

To: Anuradha Langar

From: Kate Winton

Phone:

Phone: 202-377-3280

Fax: 91-161-2302620

Fax: 202-275-3486

Urgent For Review Please Comment Please Reply Please Recycle

•Comments:

Dear Ms. Langar,

Here is a copy of the email I have just sent to you.

Kate Winton

Winton, Kathryn

From: Winton, Kathryn
Sent: Wednesday, October 12, 2005 12:25 PM
To: 'dmch_ldh'
Subject: Application for Recertification for Dayanand Medical College and Hospital

Dear Ms. Langar,

I have an update. In your fax to me, you requested information on the engagement letter. For our requirements on engagement letters, please review page 1-7 and 1-8 of the Foreign School Audit Guide. The Audit Guide can be found at <http://www.ed.gov/about/offices/list/oig/nonfed/Fgn092402.doc>.

The engagement letter must state that you will provide audits for the fiscal years 2000 - 2002.

Also, the Department must receive financial statements for the 2001 through 2005 fiscal years. The one-page documents entitled "Budgeted Receipts and Payments for the Year..." do not meet our requirements. You should have received our incomplete letters that we mailed the first week of September. In that letter, you were given thirty days to correct the financial statements and resubmit them. Your institution has not meet this deadline. The requirements for the financial statements are discussed in Section 2, Financial Audit, of the Foreign School Audit Guide.

In your fax dated 17 September, you said you would make the changes to the application and have the necessary documents prepared by October 15th. I do anticipate your response by that date, and if you do not respond by the end of this week, then I will be recommending that your application is denied due to the Department not receiving a materially complete application.

Also, the documents that were sent from the Medical Council of India are not current. The latest document we have from them is 1991. Please send current documents that show that Dayanand is accredited by the Medical Council of India and that Dayanand has legal authorization from the Medical Council to operate as an institute of postsecondary education and to award degrees, including a medical degree.

I will fax this email to you as well to ensure that you have no difficulties in receiving it.

Thank you,

Kate Winton

10/12/2005

*** TX REPORT ***

TRANSMISSION OK

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SUBADDRESS
CONNECTION ID
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RESULT OK



UNITED STATES DEPARTMENT OF EDUCATION
SCHOOL ELIGIBILITY CHANNEL
SCHOOL PARTICIPATION TEAMS
WASHINGTON, DC 20202-5340

FAX

Federal Student Aid

Date: 9-20-2005

To: Anuradha Langar

From: Kate Winton

Phone:

Phone: 202-377-3280

Fax: 91-161-2302620

Fax: 202-275-3486

Urgent For Review Please Comment Please Reply Please Recycle

Comments:

Dear Ms. Langar,

I did receive your fax on 20/9/2005. I have replied by email and here is also a copy of my reply.

Kate Winton



UNITED STATES DEPARTMENT OF EDUCATION
SCHOOL ELIGIBILITY CHANNEL
SCHOOL PARTICIPATION TEAMS
WASHINGTON, DC 20202-5340

FAX

Federal Student Aid

Date: 9-20-2005

To: Anuradha Langar

From: Kate Winton

Phone:

Phone: 202-377-3280

Fax: 91-161-2302620

Fax: 202-275-3486

Urgent For Review Please Comment Please Reply Please Recycle

•Comments:

Dear Ms. Langar,

I did receive your fax on 20/9/2005 I have replied by email and here is also a copy of my reply.

Kate Winton

Total pages: 3

Winton, Kathryn

From: Winton, Kathryn
Sent: Tuesday, September 20, 2005 3:29 PM
To: 'dmch_ldh'
Subject: RE: Application for Recertification for Dayanand Medical College and Hospital

Dear Ms. Langar,

I have turned on your access to the application. It will be on through Saturday. Please let me know if you need it to be turned on again next week.

Dr. Singh's first name is, I believe, also misspelled in Section A, Question 14 and in Section C, Question 21. In Section C, question 20, I would recommend that you check the box that says "Check here if the person in Question 10 (Dr. Daljit Singh) is on the board and don't re-enter the name below." If the statement above is correct, then I would put in today's date as the end date for Dr. Dajit Singh and Dr. Daljit Singh, where they are listed below in Question 20. Please let me know if that does not correctly answer Question 20.

In question 53, the academic year of 2003 will do, but if you have the data for 2004, then that is preferred. If the figures for 2003 did not meet our regulations, then I would need to have the figures for 2004.

Based upon your description, I would say that the answer to question 50 is 66 months. I will have to be able to confirm this figure by either looking at a catalog or prospectus or by looking at your institution's web site.

There is no other email address that I can specifically be reached at. You can try to reach the Foreign Schools Team by contacting us at fsa_foreign_schools_team@ed.gov. I did receive your email, as well as your fax today, so I do not know why you have been having difficulty in responding to me.

The Department does not stipulate on the format for a letter of engagement. In your case, I just need documentation from your auditor that states that they will be performing the FFELP compliance audit for Dayanand's 2002 and 2004 fiscal years. Along with that, I would expect that I receive a letter from the school that states that your institution is having the audits for 2002 and 2004 performed and requests that the FFELP student listings be sent to that auditor. Please ensure that both letters contain the auditor's full contact information, specifically the name of the firm, the individual contact, his or her email address OR phone number *and* fax number.

Please let me know if you have any additional questions.

Thank you,

Kate Winton

-----Original Message-----

From: dmch_ldh [mailto:dmch_ldh@satyam.net.in]
Sent: Monday, September 19, 2005 11:57 PM
To: Winton, Kathryn
Subject: Re: Application for Recertification for Dayanand Medical College and Hospital

Dear Ms. Winton,

I have been asked by Dr. Daljit Singh to contact you with regard to the recertification for our

9/20/2005

institution . We were busy with the admissions to our undergraduate course till 16.09.2005 due which I was unable to contact you earlier. You are requested to give access to our application from Tuesday onwards to make the necessary changes. I request that a grace time of 15 days till October 15th may please be given (if possible) to get the necessary documents prepared as well to update the application.

The name of Dr. Daljit Singh has been misspelled and repeated as Dr. Dajit Singh in Section C question 20, Should I give the latest end date to delete the name or can it be rectified in some other way ?

in Question 53 the academic year of 2003 will do or should the data be updated to 2004 where ever required ?

SEction I ques 50 : Our students have theory , practicals, tutorials and clinicals etc for 4 and a half year period in 9 semesters each of 20 weeks. After taking their final exams on completion of the 9th semester if they pass the students do a 1 year compulsory Rotatory Internship programme in various specialities as per the regulations of MCI, New Delhi.

Kindly guide as to what will be the accurate months accordingly. Will it be 54 or 66 months. Our telephone numbers have changed and I will update the new numbers.

I will contact you for further guidance where required .

With regards

Anuradha Langar
Administrative Officer
Principals office
DMC & H, Ludhiana

Kind Attn: Kate Winton

Dayanand Medical College and Hospital, Ludhiana

Dear Ms. Winton,

I have been asked by Dr. Daljit Singh to contact you with regard to the recertification of our institution. We were busy with the admissions to our undergraduate course till 16.09.2005 due which I was unable to contact you earlier. You are requested to give access to our application from Tuesday onwards to make the necessary changes as well as a grace time of 15 days till October 15th may please be given (if possible) to get the necessary documents prepared as well to update the application.

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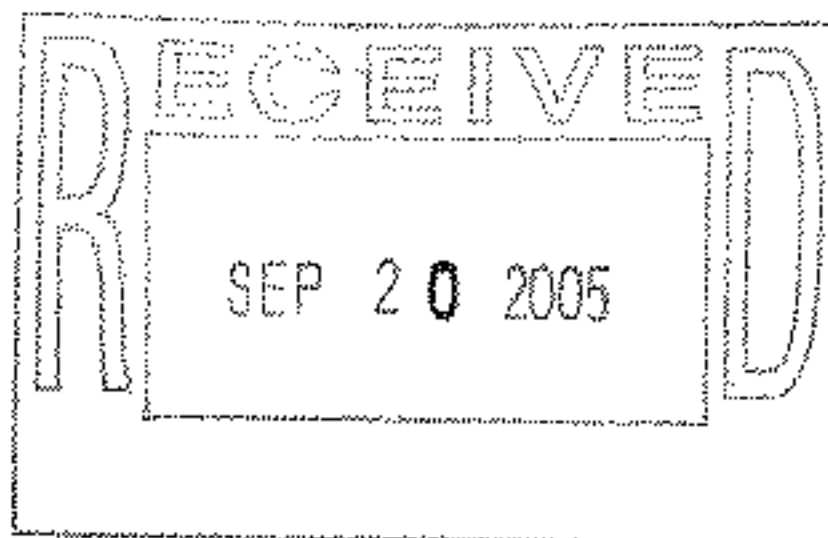
Kindly guide as to what will be the accurate months accordingly. Will it be 54 or 66 months? Our telephone numbers have changed and I will update the new numbers.

I will contact you for further guidance where required. I am unable to contact you on your email address. Could you please give an alternative email address for future correspondence.

With regards

A. Langar:

Anuradha Langar
Administrative Officer
Principals office
DMC & H, Ludhiana



Date: 17-09-05

Dear Ms Winton, please confirm by fax you received this fax dated 17-09-05 and please let me know what is to be done since I was waiting for your confirmation.

A. Langar.
20-9-05

PS: What will be the letter of engagement ~~format~~ format. So that I can fax it to it immediately. Ahy

*** TX REPORT ***

TRANSMISSION OK

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UNITED STATES DEPARTMENT OF EDUCATION
SCHOOL ELIGIBILITY CHANNEL
SCHOOL PARTICIPATION TEAMS
WASHINGTON, DC 20202-5340

FAX

Federal Student Aid

Date: **SEP 19 2005**

To: Dr. Dajit Singh

From: Kate Winton

Phone: 91-161-2304242

Phone: 202-377-3280

Fax: 91-161-2302620

Fax: 202-275-3486

Urgent For Review Please Comment Please Reply Please Recycle

Comments:

This is just to remind you that your institution, Dayanand Medical College and Hospital, has until September 30 (2 more weeks) to provide a letter of engagement OR financial statements and compliance audits.

Please contact me if I can provide any assistance.



UNITED STATES DEPARTMENT OF EDUCATION
SCHOOL ELIGIBILITY CHANNEL
SCHOOL PARTICIPATION TEAMS
WASHINGTON, DC 20202-5340

FAX

Federal Student Aid

Date: SEP 19 2005

To: Dr. Dajit Singh

From: Kate Winton

Phone: 91-161-2304242

Phone: 202-377-3280

Fax: 91-161-2302620

Fax: 202-275-3486

Urgent For Review Please Comment Please Reply Please Recycle

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Please contact me if I can provide any assistance.

Kate Winton

Total pages: 3

Winton, Kathryn

From: Winton, Kathryn
Sent: Tuesday, September 06, 2005 11:48 AM
To: 'dmch_ldh@satyam.net.in'; 'dmchldh@glide.net.in'; 'dmcl_ldh@satyam.net.in'
Subject: RE: Application for Recertification for Dayanand Medical College and Hospital

Dear Dr. Singh,

I have found that you will only need to submit compliance audits for the 2000, 2001, and 2002 fiscal years. You are not required to submit compliance audits for the 2003, 2004, and 2005 fiscal years, because it appears that your institution's funding equaled \$0 during those years. This is based upon a query of your fiscal year ending on March 31. If your fiscal year does not end on March 31, then please contact me with your correct fiscal year, and I will confirm that the above statements are still true.

You are still required to submit the financial statements that are described in the email below.

Thank you,

Kate Winton

-----Original Message-----

From: Winton, Kathryn
Sent: Thursday, September 01, 2005 4:17 PM
To: 'dmch_ldh@satyam.net.in'; 'dmchldh@glide.net.in'; 'dmcl_ldh@satyam.net.in'
Subject: Application for Recertification for Dayanand Medical College and Hospital

Dear Dr. Singh,

My name is Kate Winton, and I have recently been assigned your institution's application for recertification. I am very interested in completing this application as soon as possible, and your assistance is greatly appreciated. There are several matters to attend to, so I will explain them below.

In the application itself at www.eligcert.ed.gov, please have the following items corrected: Please let me know when you are ready to make the changes to the application, so that I can update your access to the application. If I do not update your access, then you will not be able to save any changes you have made.

In Section A, question 11, you have provided the name R.K. Gupta as your institution's GM, Finance, Accounts & Commercial. We cannot accept initials, so please enter Mr. Gupta's full name.

In Section A, Question 10, Dr. Dajit Singh is listed as the Principal (Dean). The official listed in this question is the one required to sign the signature page, which is Section L. In Section L, Dr. Subhash Chander Ahuja is named the Principal (Dean). Please inform me who is the correct official, and please have Question 10 and Section L updated to show the same individual.

In Section C, question 20, you have provided the name Mr. G.C. Dhawan and Mr. S.K. Munjal. Again, we need the full name, and not just the initials, so please have this corrected.

Also, could you please verify in question 20 that the board contains two individuals. One who is named Dr. Dajit Singh and one who is named Dr. Daljit Singh. If there are not two individuals with these names, then please tell me what is the correct spelling, and have the application updated.

In Section I, Question 47, please provide the email address.

Based upon the information in your prospectus online and in Section K, it seems to me that the answer to question 50 (in Section I) should be 66 months. Question 50 would include the compulsory rotation. Please have this answer updated, or explain to me why you believe that it is only 54 months.

In question 53, you state that your academic year started on 8/6/2003 and ended on 9/30/2003. Can you please update this information, as it cannot be possible that your academic year is only a month and a half long.

Since figures have been provided in question 56 and 57, why does Section K state that 56 and 57 have no information?

In Section K, you must be able to self-certify that you can answer all of the questions yes, except for question 64, which does not apply to Foreign Schools. Please have this corrected. When you have done so, please delete the response you have put in question 69. You are required to self-certify that you have the items listed in questions 59 through 68. These questions directly pertain to regulations that are part of your institution's program participation agreement.

Also, can you please explain why you have included in Section K phone numbers which are different from those listed in the application for Questions 10, 11, 12, and 42? Are the numbers listed in the questions accurate?

To support your application, can you please answer the following questions:

Are you affiliated or are you part of Punjab University? Does Punjab University award the degree? Do students enroll at Dayanand or the Baba Farid University of Health Sciences, Faridkot, or both? Can you provide affiliation agreements?

I have received the document that was submitted to show that Dayanand "has been recognised by the Medical Council of India for the award of the M.B.B.S. degree granted by the Punjab University." Do you have authorization documents that show that you are authorized to award the other degrees listed on your web site, such as the MD/MS, DM/MCH, G.N.M, and B.Sc.? If so, please provide copies of these documents, and their certified English translations.

Our records indicate that we have requested from you, by letter, the financial statements for 2000 and 2001 and the compliance audits for the fiscal years ended 2000 and 2001. These requests were sent to your institution on March 19, 2001 and on August 25, 2003. Furthermore, in support of your application, you are required to submit financial statements and compliance audits for the 2003, 2004, and 2005 fiscal years. You are also required to submit the compliance audit for the 2002 fiscal year. Due to your low-volume, your institution is only required to submit alternative compliance audits. These are described in Section 4 of the Foreign School Audit Guide, which is available at <http://www.ed.gov/about/offices/list/oig/nonfed/Fgn092402.doc>.

We are quite concerned that we have not received any of these documents. Please note that the Department must receive these documents or a letter of engagement within thirty days. Failure to do so will lead your institution's application for recertification to be denied, and Dayanand will no longer be able to certify loans to its U.S. students.

When you have engaged an auditor, please contact me with the name of the firm, the individual contact, his or her email address or phone number and fax number. When you have done so, I will be happy to provide your auditor with the FFELP student listings, which is a report that shows for whom loans were certified each fiscal year, and how much money was disbursed to each student. This is a helpful tool for auditors in conducting the compliance engagements.

Thank you for your attention to these matters. I hope we can process your application promptly. If you have any questions, please feel free to contact me.

Kate Winton
Phone: (202) 377-3280
Fax: (202) 275-3486
Institutional Review Specialist
Foreign Schools School Participation Team
Federal Student Aid
U.S. Department of Education

Kind Attn: Kate Winton

Dayanand Medical College and Hospital, Ludhiana

Dear Ms. Winton,

I have been asked by Dr. Daljit Singh to contact you with regard to the recertification of our institution. We were busy with the admissions to our undergraduate course till 16.09.2005 due which I was unable to contact you earlier. You are requested to give access to our application from Tuesday onwards to make the necessary changes as well as a grace time of 15 days till October 15th may please be given (if possible) to get the necessary documents prepared as well to update the application.

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Section I ques 50: Our students have theory, practicals, tutorials and clinicals etc for 4 and a half year period in 9 semesters each of 20 weeks. After taking their final exams on completion of the 9th semester if they pass the students do a 1 year compulsory Rotatory Internship programme in various specialities as per the regulations of MCI, New Delhi.

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Our telephone numbers have changed and I will update the new numbers.

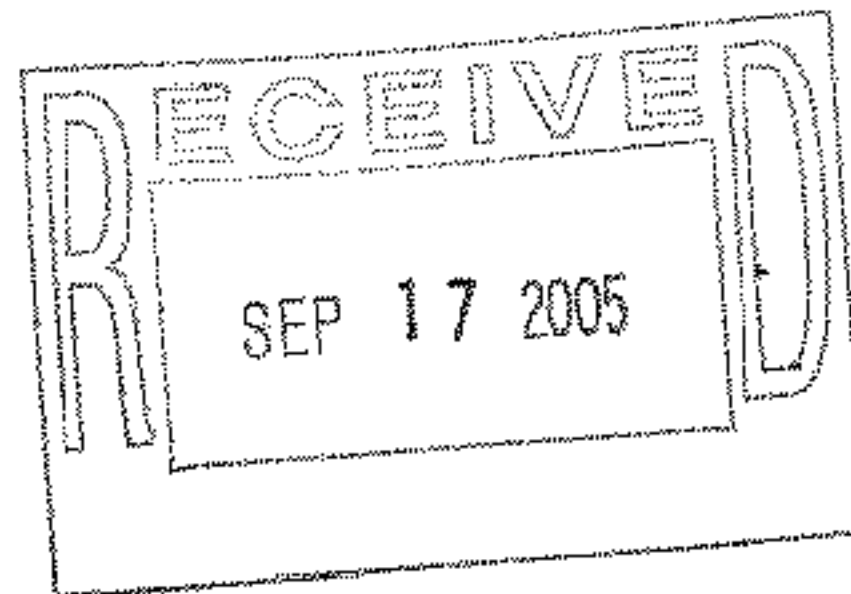
I will contact you for further guidance where required. I am unable to contact you on your email address. Could you please give an alternative email address for future correspondence.

With regards

A. Langar

Anuradha Langar
Administrative Officer
Principals office
DMC & H, Ludhiana

Date: 17-09-05



Vettickal, Joseph

From: Vettickal, Joseph
Sent: Thursday, August 26, 2004 11:15
To: 'dmchldh@glide.net.in'
Subject: RE: Dean

Dear Dr. Chopra:

Thank you very much for your response by email. Again I have released your application to update and electronically submitting to the U.S. Department of Education.(you have up to 31st to do so) You may pull your application from the website. Your userid would be ED02164600 and Password would be ED141001 (this 141001 is your postal code/country code) -All in capital letters without space. If you face any problem please let me know. Please give your email address so that I can directly contact. I fully understand the problem in communicating the institutions in India. Please go through the fax copy I set when you update the application. I understand you have new administration for the School. After you submit the application the to U.S. Dept. of Education printout Section L (signature page) and get the original signature of Official identified in Section A, number 10 and send it me by express mail along with other documents I mentioned in the fax copy.

We, the Foreign Schools Team is coordinating a training program for foreign school officials participating in our program. I would like to publish a good photo of your Med. School with students to publish in our brochure because we have only a couple of Schools in India including Dayanand participating in our program. You can send it by email and I can reproduce it to the brochure. We would like to have it as soon as possible. It would be easy for you to communicate with us by email.

If you have any question, please feel free to contact me.

Thank you again

Dr. Joseph Vettickal
 Management and Program Analyst
 Foreign Schools Team

-----Original Message-----

From: glide [mailto:dmchldh@glide.net.in]
Sent: Thursday, August 26, 2004 6:14 AM
To: Vettickal, Joseph
Subject: Dean

Dr. Joseph Vettickal

U.S. Department Of Education

Student Financial Assistance

Case Management and Oversight

Case Management Division-Northeast

Washington DC 20002-5340

Dear Dr. Vettickal,

With reference to your fax received on 20/08/2004 in my office, you are requested to give the institution a further time period of 15 days for sorting the electronic data since we were busy with the undergraduate

8/26/2004

admission (MBBS Admission-2004) till today, i.e. 26/08/2004. Secondly, since I have recently taken over as Dean Academics, I wish to familiarize myself with your requirements and check the data personally so that such delays can be avoided for future correspondence with you.

Yours sincerely

Dr. S. C. Chopra

Dean Academics

8/26/2004

*** TX REPORT ***

TRANSMISSION OK

TX/RX NO 1066
CONNECTION TEL 9011911612302620
SUBADDRESS
CONNECTION ID
ST. TIME 08/18 11:55
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**UNITED STATES DEPARTMENT OF EDUCATION
STUDENT FINANCIAL ASSISTANCE
CASE MANAGEMENT & OVERSIGHT
CASE MANAGEMENT DIVISION - NORTHEAST
WASHINGTON, DC 20202-5340**

FAX

Federal Student Aid

Date: 8/18/04

To: Dr. Daljit Singh

From: Dr. Joseph Vettickal

Phone: 91161 2304340 x 700

Phone: (202) 372 3164

Fax: 91161 230 2620

Fax: (202) 275-3483

Email: Joseph.Vettickal@ed.gov

- Urgent
- For Review
- Please Comment
- Please Reply
- Please Recycle

Comments: My first email failed to reach your office. We checked the website and learned that there are some changes in the administration of your institution. I released your electronic application...



UNITED STATES DEPARTMENT OF EDUCATION
STUDENT FINANCIAL ASSISTANCE
CASE MANAGEMENT & OVERSIGHT
CASE MANAGEMENT DIVISION - NORTHEAST
WASHINGTON, DC 20202-5340

FAX

Federal Student Aid

Date: 8/18/04

To: Dr. Daljit Singh

From:

Dr. Joseph Vettickal

Phone: 91161 2304340 x 700

Phone:

(202) 372 3164

Fax: 91161 230 2620

Fax:

(202) 275-3483

Email: Joseph.Vettickal@ed.gov

Urgent

For Review

Please Comment

Please Reply

Please Recycle

•Comments:

My first email failed to reach your office. We checked the website and learned that there are some changes in the administration of your institution.

I released your electronic application for making changes and submitting to the Department.

Please contact me immediately.

Vettickal, Joseph

From: Vettickal, Joseph**Sent:** Wednesday, August 11, 2004 11:33 AM**To:** dmch_1dh@satyam.net.in**Cc:** dmch1dh@glide.net.in**Subject:** Application for recertification is not materially completed in log.Dear Dr. Ahuja: *D. Singh*

The U.S. Department of Education has received your electronic application for recertification on December 23, 2002. Since you have applied on time your institution is having its continued eligible status to participate in the Federal Student Aid Title IV, Federal Family Education Loan (FEEL) Programs.

The Department of Education has not completed your application review process for final approval. We need the following documents and updating the information in the application.

1. Latest Certificate/status report from the Medical Council of India.
2. Private Non Profit status approval from the government
3. Audited Financial statements with auditor's opinion for the Fiscal Years 2001, 2002 and 2003 by a public accountant
4. Electronic application corrections (updating the information) in Section I, #s52, 53, 54, 55, 56 and 57. Section K must be completed except #64 with Yes (not with N). If any changes of officials Section A need to be corrected.

Please Note: **For Application corrections I have released your electronic application. You have 5 days to do so.**

If you have any question please email me at: Joseph.Vettickal@ed.gov or by fax at :(202) 275-3486

Your immediate attention in this matter is highly appreciated. Our express mailing address is the following:

U.S. Department of Education
Federal Student Aid
Foreign Schools Team
830 First Street, NE
Union Center Plaza, 7th Floor., 73C3
Washington, DC, 20002-5340

Sincerely

Dr. Joseph Vettickal
Management and Program Analyst
Foreign Schools Team

8/11/2004



UNITED STATES DEPARTMENT OF EDUCATION
 FEDERAL STUDENT AID
 SCHOOL ELIGIBILITY CHANNEL
 APPLICATION, SCHOOL ELIGIBILITY AND DELIVERY SERVICES
 WASHINGTON, DC 20202-5340

August 25, 2003

OPEID: 02164600

President/Chancellor/Rector
Dayanand Medical College & Hospital
 Civil Lines
 Ludhiana, Punjab 141001
 INDIA

Dear President/Chancellor/Rector:

Thank you for your continued participation in the Federal Family Education Loan (FFEL) Programs offered through the United States Department of Education (Department). The FFEL Programs provide eligible U.S. students with financial assistance to attend schools that the U.S. Secretary of Education certifies as meeting statutory eligibility requirements. Our Office of Postsecondary Education (OPE) has recently issued a letter that reminds participating foreign schools of some of these requirements. We have enclosed a copy of that letter for you to review. Please ensure your school has implemented each of these requirements. The letter from OPE also stated that if your school needed to submit missing compliance or financial statements, a separate letter would be sent with instructions for the next steps. This is that separate letter.

To fulfill audit requirements for past periods, your institution is required to submit compliance audits covering your institution's three most recently completed fiscal years (e.g., if your fiscal year ends December 31, we will expect submission of audits covering January 1, 1999 through December 31, 2002; or if your fiscal year ends June 30, we will expect submission of audits covering July 1, 1999 through June 30, 2002.) Your institution must also submit audited financial statements for the fiscal years ending 2001 and 2002. We have indicated below the audit reports and audited financial statements for past periods that your institution is required to submit and additional information about these requirements. If a box is not checked, we have already received that report or statement.

FFEL Funds Received¹

<input type="checkbox"/>	FYE 2002 Compliance Audit	\$0.00
<input checked="" type="checkbox"/>	FYE 2001 Compliance Audit	\$18,500.00
<input checked="" type="checkbox"/>	FYE 2000 Compliance Audit	\$18,500.00
<input type="checkbox"/>	FYE 2002 Audited Financial Statement	\$0.00
<input checked="" type="checkbox"/>	FYE 2001 Audited Financial Statement	\$18,500.00

¹The FFEL Funds Received figures identified here are for the U.S. Federal Fiscal Year Ending (FYE) September 30. Your auditor will audit FFEL funds received during your school's fiscal year. Therefore, the figures presented here are only an approximation of the amount of FFEL funds that students attending your institution received during your fiscal year. The actual amount of FFEL funds provided to students attending your school for your FYE 2000, 2001 and 2002 will be provided on request to your auditor in accordance with the General Planning Considerations, Section 1.7.1 of the *Foreign School Audit Guide*. The *Guide* is discussed below.

Additional Information Regarding the Annual Compliance Audit Requirement

The annual submission of a compliance audit is required by Section 487(c) of the Higher Education Act of 1965, as amended (HEA), 20 U.S.C. § 1094(c), and Section 668.23 of Title 34 of the Code of Federal Regulations (C.F.R.). The Department has developed a separate *Foreign School Audit Guide (Guide)*, to specifically address foreign school audit requirements. That *Guide* is now posted as Item No. B.18 at the following website:

<http://www.ed.gov/offices/OIG/nonfed/sfa.htm>

For each fiscal year in which students at your school received less than \$500,000 in FFEL funding, you are authorized by Section 4 of the *Guide* to submit an Alternative Compliance Engagement, which includes fewer audit steps, instead of the full compliance audit specified in Section 3 of the *Guide*. For each fiscal year in which students at your school received \$500,000 or more in FFEL funding, you are required to submit annual compliance audits performed in accordance with the Standard Compliance Engagement requirements described in Section 3 of the *Guide*.

As noted in the *Guide*, the compliance audits or Alternative Compliance Engagement should be conducted in accordance with the applicable standards contained in the Government Auditing Standards (GAS) issued by the Comptroller General of the United States. The GAS standards can be obtained at the following website:

<http://www.gao.gov/govaud/ybk01.htm>

The *Guide* provides that non-U.S. auditors (i.e. not licensed in the U.S) need not comply with the Continuing Education and External Quality Control Review requirements of GAS, although the auditor is required to amend the report to disclose the auditor's non-compliance with the requirements. A non-US auditor should likewise specifically disclose any other areas of non-compliance with GAS requirements when preparing the report. The Department will determine whether to accept compliance audits not conducted in full accordance with GAS.

Additional Information About Annual Financial Statement Requirement

The annual submission of an audited financial statement is described in Section 668.15 of Title 34 of the Code of Federal Regulations (C.F.R.). Essentially, Section 668.15(h) of Title 34 CFR states that for each FY in which your school's students received less than \$500,000 in FFEL funding, the audited financial statements you submit may be prepared in accordance with the auditing standards and generally accepted accounting principles of your home country. In the alternative, financial submissions prepared in accordance with U.S. auditing standards and generally accepted accounting principles are also accepted.

If the financial statements are prepared in a language other than English, an English language translation of the financial statement descriptors and footnotes, and the auditor's report must be provided.

Your auditor will note that on Page 1-3 of the *Foreign School Audit Guide* it states that:

If the IPA has been engaged to perform audits under this Guide for the same school for more than one fiscal year, separate reports should be issued for each fiscal year. Samples should be drawn from universes defined for each fiscal year, and minimum sample sizes described in this Guide apply to universes for each individual fiscal year.

For the compliance audits of prior periods discussed above, we will not reject a report that covers more than one fiscal year, provided that audit samples are drawn from universes defined for each individual fiscal year covered in the audit, and minimum sample sizes described in the Guide are applied to the universes for each individual fiscal year. Future submissions should cover individual fiscal years, as required by the Guide.

Submission Requirements

Both the compliance audit and audited financial statements are due **each year** and must be submitted to the Department no later than six months after the end of your fiscal year (e.g., if your fiscal year ended December 31, 2002, we would expect submission of both reports no later than June 30, 2003).

To comply with this request for the three annual compliance audits (FYE 2000, FYE 2001, FYE 2002), your institution must submit all missing compliance audits for the past three years. After this one time three-year period submission, all compliance audits must be submitted annually and no later than six months following the end of your institution's fiscal year. Since the six-month submission requirement has now passed for all FYE 2002 compliance and financial statement audits, your institution will be granted an extension to December 1, 2003, to submit these required reports. In addition to submitting these audits by the required date, your institution must also submit a letter to the Department within 45 days of the date of this letter demonstrating that it has engaged an auditor to perform these audits.

Therefore, your institution should immediately engage an independent auditor to perform the required Alternative Compliance Engagement and, if not already completed, the financial statement audits for the FYE 2001 and FYE 2002. These audit reports must be submitted to the following address:

U.S. Department of Education
Federal Student Aid
Application, School Eligibility and Delivery Services
School Eligibility Channel
Document Receipt and Control Center
Union Center Plaza
830 First Street, NE, Room 73D2
Washington, DC 20002

U.S. Department of Education regulations provide for administrative actions if institutions participating in the Federal Student Aid programs do not submit required financial statements and compliance audits.

If you have any questions regarding these requirements, please contact the Foreign Schools Team at (202) 377-3168 or fsa.foreign.schools.team@ed.gov. Thank you for your cooperation in complying with these requirements. We look forward to working with your institution.

Sincerely,



M. Geneva Coombs, Director
Case Management Teams – Northeast

Enclosure

cc: Financial Aid Contact Office

DAYANAND MEDICAL COLLEGE & HOSPITAL LUDHIANA



Ref.No.DMCH/ P/2002/879

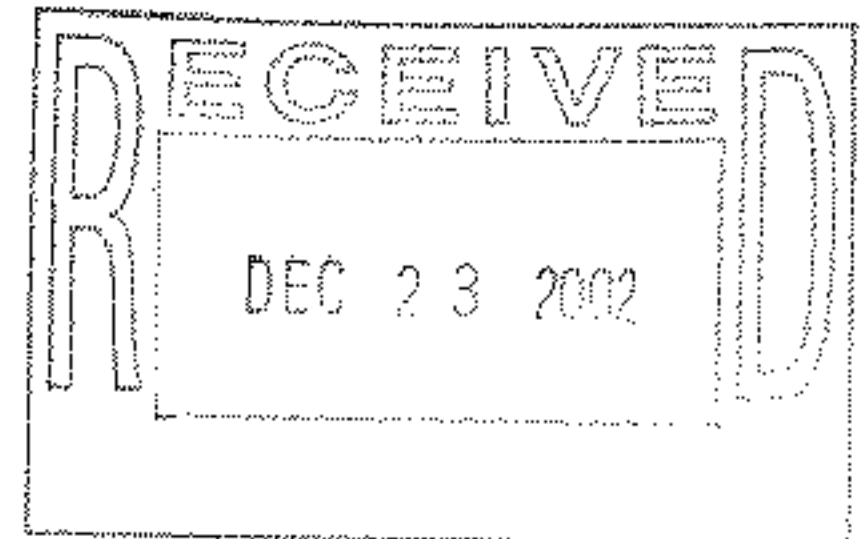
02164600

Dated 12/12/02


U.S. Department Of Education
Case Management and Oversight
Data Management and Analysis
Document Receipt and Control Center
830 First Street, NE
Room 7 111
Washington DC 20002-5402



With reference to the letter dated 09/24/2002 regarding "Recertification of Dayanand Medical College & Hospital's Participation In The Federal Students Financial AID Programs" the following documents are enclosed herewith as given in section M of US Department of Education's website:



1. ✓ Signature Page(Section L)
2. ✓ Certificate of nonprofit designation status of Dayanand Medical College & Hospital, Ludhiana.
3. Most recent catalog and its certified English translation of all sections dealing with degrees and programs provided at the institution :
 - ✓ a. MBBS – 2002 prospectus
 - ✓ b. PG –2002 prospectus
4. - Legal Authorization and its certified English translation to provide an educational program beyond the secondary school level in the country where the institution located.
- Legal authorization and its certified English translation to award a degree that is equivalent to a degree awarded in the United States.
5. ✓ Audited financial statements for the two most recently completed fiscal years.
 - ✓ a. Photocopy of budgeted receipt and payments for the year 2000-2001 (original copy dispatched on 06/06/2001 reference no. DMCH/P/2001/511).
 - ✓ b. Original copy of budgeted receipt and payments for the year 2001-2002


Dr. S. C. Ahuja
Principal (DEAN)

PRINCIPAL (DEAN)
DAYANAND MEDICAL COLLEGE
& HOSPITAL, LUDHIANA.

S

{ Principal : 471500 Ext. 700
Medical Supdt. : 471500 Ext. 722
Dean Academics : 471500 Ext. 707

EPABX : 471500 (18 Lines)
Fax : 0161-472620
Internet : dmchldh@glide.net.in
Website : www.dmch.edu



UNITED STATES DEPARTMENT OF EDUCATION
OFFICE OF STUDENT FINANCIAL ASSISTANCE
CASE MANAGEMENT AND OVERSIGHT
FOREIGN SCHOOLS TEAM
WASHINGTON, DC 20202-5340

MAR 19 2001

Dr. N D M.S.
President
Dayanand Medical College & Hospital
Civil Lines
Ludhiana 141001
INDIA

OPEID: 02164600

Dear Dr. M.S.:

Thank you for your continued participation in the United States Department of Education's Federal Family Education Loan (FFEL) Programs. The FFEL programs provide eligible American students with financial assistance to attend your institution. For the 2000 federal fiscal year, your Institution's students received 18,500.00 in FFEL awards. As a participating institution in the FFEL programs there are certain reporting requirements that all schools must meet. One of these reporting requirements includes the submission of annual audited financial statements.

The annual submission of financial statements is described in Section 487(c) of the Higher Education Act of 1965, as amended (HEA), and Section 668.23 of Title 34 of the Code of Federal Regulations (CFR). Essentially, Section 668.23 of Title 34 CFR requires that:

- An institution that participates in any Title IV, HEA program (which includes the FFEL programs) must submit a set of audited financial statements prepared by an independent auditor for each fiscal year that the institution participates in the Title IV programs:
 1. For institutions whose students received more than \$500,000 in FFEL awards during the fiscal year, as identified above, the audited financial statements must be prepared on an accrual basis in accordance with United States generally accepted accounting principles (GAAP), and audited in accordance with United States generally accepted government auditing standards (GAGAS).
 2. For institutions whose students received less than \$500,000 in FFEL awards during the fiscal year, the audited financial statements must be prepared in accordance with GAAP of the institution's home country.
- The term independent auditor refers to an independent certified public accountant (chartered account or equivalent) or a government auditor. A government auditor must meet the GAGAS qualification and independence standards, including standards related to organizational independence.
- An institution must submit annually to the Secretary (U.S. Department of Education) its audited financial statements no later than six months after the last day of the institution's fiscal year.

We, therefore, are requesting that if you have not already done so, that you immediately engage an independent auditor to perform the required financial statement audit. To ensure no interruption in your participation in the FFEL programs, please submit the following in accordance with the timelines provided.

- If the fiscal year 2000 financial statements have already been prepared, they should be submitted to the U.S. Department of Education no later than six months after the last day of the institution's fiscal year.
- If the fiscal year 2000 financial statements have not yet been prepared, the institution should submit a copy of an independent auditor's letter of engagement indicating when the financial statement audit will be performed. The copy of the engagement letter should be submitted to the Department within 45 days of the date of this letter.

- Within 120 days of obtaining the letter of engagement or within six months of your school's most recently completed fiscal year, (whichever is later), submit the completed financial statement audit for the fiscal year 2000.

For those institution's whose students received more than \$500,000 in FFEL awards during the institution's fiscal year, the U.S. Department of Education's Audit Guide "Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers", January 2000 edition, provides some guidance in conducting the financial statement audit on pages I-9 to I-11. An illustrative report that the independent auditor should follow in preparing this report for submission to the Department is included in Section V (Examples A1 to A3). A copy of the audit guide may be obtained on the Internet at <http://www.ed.gov/offices/OIG/nonfed/sfa.htm>.

Please submit these required documents within the timeframes specified to the following address:

REGULAR MAIL ADDRESS:

U.S. Department of Education
Office of Student Financial Assistance Programs,
Schools Channel
Case Management and Oversight
Data Management Analysis Division
Document Receipt and Control Center
P.O. Box 44805
L'Enfant Plaza Station
Washington, DC 20026-4805
USA

EXPRESS MAIL:

U.S. Department of Education
Office of Student Financial Assistance Programs,
Schools Channel
Case Management and Oversight
Data Management Analysis Division
Document Receipt and Control Center
7th and D Streets, SW
GSA Building, Room 5643
Washington, DC 20407
USA

If you have any questions regarding the financial statement audit requirements or regarding your institution's total FFEL funding for the 2000 federal fiscal year please contact:

- Thomas Whiting at (212) 637-0519 or Thomas_Whiting@ed.gov OR
- Foreign Schools staff at (202) 708-8820 or OSFA_Foreign_Schools_Team@ed.gov

Thank you again for your cooperation in complying with this requirement. We look forward to working with your institution.

Sincerely,



M. Geneva Coombs, Director
Case Management Teams - NE/SW

cc: Financial Aid Contact Office



UNITED STATES DEPARTMENT OF EDUCATION
STUDENT FINANCIAL ASSISTANCE
CASE MANAGEMENT AND OVERSIGHT
WASHINGTON, DC 20202-5340

NOV 24 2000

Dr. Subhash Chander Ahuja
Principal
Dayanand Medical College & Hospital
Civil Lines
Ludhiana
Punjab, India 141001

Dear Dr. Ahuja:

Thank you for your continued participation in the Federal Family Education Loan (FFEL) Programs offered through United States Department of Education (Department). The FFEL Programs provide eligible American students with financial assistance to attend schools that the U.S. Secretary of Education certifies as meeting statutory eligibility requirements.

The law establishing the FFEL Programs imposes certain reporting requirements on participating foreign graduate medical schools that must be met. These reporting requirements include the annual submission to the Department of statistics pertaining to the citizenship of the school's students, as well as the school's pass rate for students taking any step of the examinations administered by the Educational Commission for Foreign Medical Graduates (ECFMG).¹

The Higher Education Act of 1965, as amended (Pub. L. 102-325), provides that students attending a foreign graduate medical school are eligible to receive loans under the FFEL Programs only if, in the preceding year, at least 60 percent of the school's students and at least 60 percent of the school's most recent graduating class are not U.S. citizens; U.S. nationals; U.S. permanent resident aliens; or citizens of either the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau. In addition, students attending a foreign graduate medical school are eligible to receive FFEL Program loans only if,

- in the preceding year, at least 60 percent of the medical school's students who took any step of the examinations offered by the ECFMG received passing scores, and
- in the three years preceding the year for which you are reporting (2000), at least 60 percent of the medical school's most recent graduating classes who took any step of those examinations received passing scores, [See 34 CFR Section 600.55(a)(5) and (b)]

¹ A foreign graduate medical school is exempt from the reporting, citizenship and pass rate requirements discussed in this letter if it has a clinical training program that: (i) is located in the United States; (ii) was approved by a State as of January 1, 1992; and (iii) has been approved continuously since then by that State.

Our records indicate that your institution has not submitted on an annual basis the data relevant to the citizenship criteria and the ECFMG pass rates. Your institution was recertified on the premise that your institution would develop procedures to secure this information in the future and begin reporting this information on an annual basis.

The purpose of this letter is to remind your institution of this requirement and to provide guidance in developing a tracking system for collecting the citizenship and ECFMG pass rate data. Continued eligibility in the FFEL Programs requires institutional compliance with the reporting requirements and with the citizenship and ECFMG pass rate criteria described above.

To determine the percentage of students who meet the criteria regarding citizenship, a foreign graduate medical school should request citizenship information as part of its application process and then store this information in a tracking system of the school's own design. The citizenship information that needs to be reported on an annual basis must include:

1. The total number of full-time regular students² enrolled at the institution during the most recent academic year;
2. The total number of students in the institution's most recent graduating class;
3. The total number of students in each of the above categories who are not citizens of the United States, nationals of the United States, U.S. permanent resident aliens, or citizens of either the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau.

In addition, your institution should develop a tracking system to record and report annually pass rates for students and graduates who take any step of the ECFMG examinations, e.g., Steps 1 and 2 of the U. S. Medical Licensing Exam (USMLE), the Clinical Skills Assessment exams and/or the Test of English as a Foreign Language (TOEFL) in the preceding year. Your institution can receive this information by obtaining a "consent" form from your medical students that permits the ECFMG to disclose the students' test scores to you. The ECFMG permits institutions to request this information by submitting a list of the students along with the consent form for each student. You may also obtain this information directly from the student whereby they forward to your school a copy of their scores upon receiving them from the ECFMG.

To meet the minimum requirements for participating in Title IV SFA programs, your school should obtain from your students or, with your students' permission, from ECFMG:

1. USMLE Step 1 - date of exam, grade received, ECFMG number assigned to student
2. USMLE Step 2 - date of exam, grade received, ECFMG number assigned to student
3. Clinical Skills Assessment - date of exam, pass/fail designation, ECFMG number assigned to student
4. TOEFL Exam (if applicable) - date of exam, score received, ETS registration/appointment confirmation number.

² The reference to "regular students" pertains to students who are enrolled or accepted for enrollment for the purpose of obtaining a degree, certificate, or other recognized educational credential offered by the institution.

We are providing examples of a "transcript consent" form that can be modified for use by your school. In addition, we are providing a copy of a sample "tracking" report that you also may adapt for your use.

By January 31, 2001, please notify Department in writing that your institution has implemented a tracking system for determining citizenship data and ECFMG medical exam pass rates. You are required to submit these reports on an annual basis. The institution's first annual report will be on activity during the 2000 calendar year and is due on March 31, 2001. This report must be submitted to:

By overnight mail:

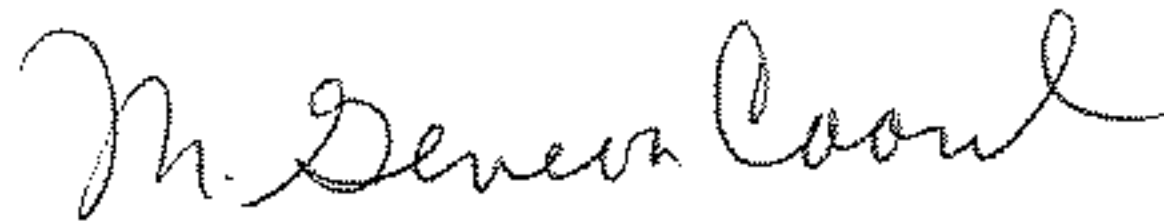
U. S. Department of Education
Student Financial Assistance
Case Management and Oversight
Foreign Schools Team
7th and D Streets SW (Room 3674)
Washington, DC 20407
U. S. A.

By regular mail:

U. S. Department of Education
Student Financial Assistance
Case Management and Oversight
Foreign Schools Team
400 Maryland Avenue SW
(ROB #3, Room 3674)
Washington, DC 20202-5340
U. S. A.

If you have any questions regarding these requirements, please contact the Foreign Schools Team at (202) 708-8820 or Lorge_Matos@ed.gov. Thank you for your cooperation in complying with these requirements. We look forward to working with your institution.

Sincerely,



M. Geneva Coombs, Director
Case Management Teams – Northeast

Enclosure

cc: Financial Aid Contact Office

Enclosure 1
SAMPLE Transcript Consent Form

Name of School
Address of School
City/Country/Mail Code
Telephone Number
Facsimile (FAX) Number

Request for Official USMLE Scores, CSA Results, and TOEFL or English Proficiency Results

Student's Name (Print): _____

Date: _____

I hereby authorize and request the Educational Commission for Foreign Medical Graduates to release my United States Medical Licensing Examination Official Transcripts, CSA results, and English Language proficiency score results to:

(Name and Title of School Contact Person)

at: _____
(Name of School and Address)

Student's
Signature: _____

Social Security Number: _____ Birth Date: _____

ECFMG Identification
Number: _____

Special Note to First Time Takers: It is vital that you let us know your new ECFMG number promptly or your services will be suspended!

This form must be signed by the above USMLE applicant before the current Step One/Step Two, CSA application, or English proficiency results request can be forwarded to ECFMG. Be certain to send this completed application to the contact person named above at the school's address.

Please remember that your signature on this form does not relieve you of the responsibility of sending BOTH sides of your score (or "no Show") Report to this office. Finally, the required fee for this Official USMLE Transcript will be paid by

(Name of School)

Enclosure 2
SAMPLE TRACKING REPORTS

ECFMG Tracking Report-USMLE Step 1

Student's Name	Social Security Number	Attempt Number	Date	Score	ECFMG Number	Enrollment Status

ECFMG Tracking Report- USMLE Step 2

Student's Name	Social Security Number	Attempt Number	Date	Score	ECFMG Number	Enrollment Status

ECFMG Tracking Report- Clinical Skills Assessment

Student's Name	Social Security Number	Attempt Number	Date	Score	ECFMG Number	Enrollment Status

ECFMG Tracking Report- Test for English as a Foreign Language (TOEFL)

Student's Name	Social Security Number	Attempt Number	Date	Score	ECFMG Number	Enrollment Status



UNITED STATES DEPARTMENT OF EDUCATION
OFFICE OF POSTSECONDARY EDUCATION
CASE MANAGEMENT DIVISION - NORTHEAST
FOREIGN SCHOOLS TEAM
WASHINGTON, DC 20202-5340

August 14, 1997

SENT REGISTERED MAIL
RETURN RECEIPT REQUESTED
OPE ID: 02164600

President
Dayanand Medical College
Civil Lines
Ludhiana
Punjab INDIA
**

Dear President:

This purpose of this letter is to inform you that the Program Participation Agreement (PPA) of Dayanand Medical College (Institution) with the Secretary of the U. S. Department of Education (Department) that allowed the Institution, located at Civil Lines, Ludhiana, Punjab, INDIA, to participate in student financial assistance programs under Title IV of the Higher Education Act **expired on July 23, 1997**. As of that date, the Institution no longer is able to participate in any of the federal student financial assistance programs administered by the Department.

In the Department's letter to you of March 1997, we advised that the Higher Education Act requires that all Program Participation Agreements (PPAs) expire in accordance with a schedule set by the Department, but in any event no later than July 23, 1997. The letter notified you that the Department set the expiration date for your PPA as July 23, 1997. The letter further advised that if the Institution wished to continue to participate beyond the expiration date, it must submit a timely application for recertification.

As of the date of this letter, the Institution has not submitted an application in response to our March 1997 letter. Therefore, the Institution's PPA expired on July 23, 1997. **If your institution did submit a recertification application on or before July 23, 1997, and you have verification of mailing [e.g., express or registered mail receipt], please contact the Department immediately.**

The Institution may submit an application for reinstatement to participate in the federal student financial assistance programs. If the institution submits an application, it may not participate until approved by the Department. An institution that applies to the Department for reinstatement will be treated as a new applicant and, if approved, will be given a new, not retroactive, approval date.

If your institution is a foreign graduate medical school, or if it is a university with a foreign graduate medical school, department or faculty, in order for the institution to be recertified, the institution must be located in a country whose standards of accreditation for medical schools has been determined to be comparable to the standards of accreditation of U. S. medical schools. The U.S. Secretary of Education has appointed a panel of medical experts, the National Committee on Foreign Medical Education and Accreditation (NCFMEA), to make these determinations.

The NCFMEA has met and begun evaluating accreditation standards, and countries have been notified. A listing of countries currently found to be comparable is enclosed. If your country's standards have not yet been reviewed by the NCFMEA, your institution's application for recertification will be evaluated on the merits of its compliance with all other eligibility and certification requirements. If the institution meets these standards, it will be granted provisional approval to participate in Title IV, student financial assistance programs until such time as the country's medical school accreditation standards can be reviewed by the NCFMEA.

If your institution is a university with a department or faculty of medicine, the same criteria apply; however, if your country's medical school accreditation standards have been determined not to be comparable to U. S. standards of medical school accreditation, only the medical school will be prohibited from participating in the Federal Family Education Loan (FFEL) program. The university, exclusive of the medical school, will be evaluated for eligibility and certification on the basis of the university's compliance with regulatory requirements for participation in Higher Education Act programs.

When an institution loses its eligibility and its participation ends, it must:

1. Submit to the Secretary within 45 days after the date that the participation ends:
 - a) All financial, performance, and other reports required by the appropriate Title IV student financial assistance program regulations, as amended;
 - b) A letter of engagement for an independent audit of all funds that the institution received under the Title IV programs for the period July 1, 1994 - July 23, 1997. (If audits for any portion of this period have been submitted, the close-out audit must cover the period since the latest submitted audit.) The audit report is due 45 days after the date of the letter of engagement.

2. Return to the appropriate lenders any Federal Family Education Loan program proceeds that the institution has received but not delivered to, or credited to the accounts of, U. S. students attending the institution (except for proceeds that may be delivered pursuant to 34 CFR § 668.26(d)(2)).
3. Inform the U. S. Secretary of Education of the arrangements that the institution has made for the proper retention and storage, for a minimum of three years, of all records concerning the administration of the Title IV programs.
4. Distribute refunds of unearned tuition and fees and payments for institutional charges according to 34 CFR § 668.22.

The institution must submit a copy of the "close-out" audit described under paragraph 2 of this letter to the following address:

If by your country's postal service delivery
U. S. Department of Education
Institutional Participation and
Oversight Service
Case Management Division-Northeast
P. O. Box 44805
L'Enfant Plaza Station
Washington, DC 20026-4805

By Commercial overnight/courier delivery
U. S. Department of Education
Institutional Participation and
Oversight Service
Case Management Division -Northeast
GSA Building, Room 3717
Washington, DC 20407
Attention: Audit Resolution

In the absence of a close-out audit, it is the Department's policy to assess a liability equal to the amount of Title IV funds received by an institution during the unaudited period.

American students may contact the guaranty agencies that guaranteed their loans for repayment information.

Ms. Janet L. Buntebart, Team Leader, Foreign Schools, will be your institution's contact for any questions you may have concerning this process. You may contact Ms. Buntebart at (202) 205-0183, or by mail to the following address:

U. S. Department of Education
Office of Postsecondary Education
Institutional Participation and Oversight Service
Case Management Division-Northeast
Foreign Schools Team
600 Independence Avenue, SW
Washington, DC 20202-5340

Telephone: (202) 205-0183

FAX: (202) 708-9257

Email address: IPOS@ed.gov