



UNITED STATES DEPARTMENT OF EDUCATION
FEDERAL STUDENT AID
CASE MANAGEMENT & OVERSIGHT
FOREIGN SCHOOLS CASE MANAGEMENT TEAM

NOV 22 2002

Sir Colin Campbell
Vice Chancellor
University of Nottingham
University Park
Nottingham NG7 2RD
England

OPE ID 00892000

Dear Sir Campbell:

The Foreign Schools Case Management Team is pleased to inform you that, based upon the information included in your Application for Approval to Participate in Federal Student Financial Aid Programs (ED Form E40-34P), the Secretary of Education (Secretary) has determined that University of Nottingham (Institution) satisfies the definition of an eligible institution under the Higher Education Act of 1965, as amended (HEA). University of Nottingham will be listed in the next edition of the Directory of Postsecondary Institutions published by the U.S. Department of Education (Department).

OPE ID NUMBER

The OPE ID Number 00892000 is a unique identifier for University of Nottingham. The OPE ID Number will also be University of Nottingham's identification number for the Title IV, HEA programs. Please use the OPE ID Number in all communications with the Department.

ENCLOSURES

Enclosed, please find a copy of the **Eligibility and Certification Approval Report (ECAR)** and the **Program Participation Agreement (PPA)** that has been signed on behalf of the Secretary. Together, the PPA and the ECAR constitute Foreign Schools Case Management Team's determination that University of Nottingham has qualified to participate in programs under the Higher Education Act of 1965, as amended (HEA) and the Federal student financial assistance programs (Title IV, HEA programs).

The Institution must retain the ECAR and the PPA together.

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

The ECAR contains the most critical of the data elements that form the basis of the Institution's approval, and also a list of the highest level of offering, any nondegree or short term training programs, and any additional locations that provide 50 percent or more of an educational program that have been approved for the Title IV, HEA programs. University of Nottingham may not award, distribute or disburse any Title IV, HEA program funds for any educational or training program that is beyond the scope of the approval contained in the ECAR, nor for any additional location providing 50 percent or more of an educational program that has not been approved and is not listed on the ECAR.

- The listing of Vocational Programs in the ECAR contains those nondegree programs that the Foreign Schools Team has determined are eligible programs for participation in the Title IV, HEA programs.
- Vocational and nondegree programs that do not meet the requirements of 34 CFR Parts 600 and 668 have not been approved and are marked in the ECAR as not approved.
- In order to comply with the requirements of 34 CFR 668(1), some vocational or nondegree programs may have been approved for fewer credit hours than requested in University of Nottingham's application.

PROGRAM PARTICIPATION AGREEMENT

The PPA contains the agreement between University of Nottingham and the Secretary concerning the Institution's participation in the Federal student financial assistance programs (Title IV, HEA programs).

CERTIFICATION FOR TITLE IV, HEA PROGRAMS

As explained in the PPA, Title IV, HEA programs administered by participating educational institutions are subject to applicable laws, regulations, and guidelines. Listed below is the appropriate telephone number for further information on the HEA program for which University of Nottingham is approved:

- Federal Family Education Loan Program (202) 377-4008

Participating educational institutions will be reviewed at least once every six years to determine whether the institutions remain administratively capable and financially responsible to administer Title IV programs and funds.

REPORTING AND REAPPLICATION REQUIREMENTS

The institution must report promptly to the Department certain changes and actions that affect the institution's participation approval, as specified in 34 CFR 600 and 668, including, but not limited to:

- Change of name and/or address;
- New contract or significant modification of existing contract with a third party servicer; or
- Change in exercise of a person's substantial control over the institution, e.g., a change in the chief executive officer or members of the board of trustees or board of directors.

If the institution fails to report any such changes within ten days after the change occurs, the ability of the institution to administer the Title IV student financial assistance programs properly will be called into question. As a consequence, we will consider whether it is necessary to monitor the institution's receipt of Federal funds more closely. Failure to report changes within the time frame required may also result in an adverse action being taken against the institution in accordance with 34 CFR 668, Subpart G.

Automatic Termination of Approval

This Approval for Institutional Participation automatically terminates on the happening of any of the following events:

- September 30, 2005;
- The date the institution loses the legal authority to offer programs of postsecondary education in the Country in which it is located;
- The date the institution ceases to offer all approved postsecondary instruction;
- The date the institution merges with another institution;
- The date the institution undergoes a change in ownership resulting in a change of control;
- The date the institution files for bankruptcy; or
- The date the institution otherwise ceases to meet the definition of an eligible institution of higher education.

Please send all information or documentation required by this letter to:


<i>if by mail:</i>	<i>if by overnight mail/courier delivery:</i>
United States Department of Education Federal Student Aid, Schools Channel Foreign Schools Case Management Team 830 First Street, NE Union Center Plaza, 73C3 Washington, DC 20202-5340	United States Department of Education Federal Student Aid, Schools Channel Foreign Schools Case Management Team 830 First Street, NE Union Center Plaza, 73C3 Washington, DC 20002

It is important to note that the University of Nottingham's Program Participation Agreement (PPA) extends approval only to the Institution's degree programs. Since the Institution did not include its certificate and diploma programs in its current application, these programs are not eligible. Therefore, the Institution does not have the authority to obligate funds under the Federal Family Education Loan (FFEL) Program for current or new U.S. students enrolled in such programs.

Furthermore, the Institution's PPA does not extend to U.S. students who are enrolled at the University of Nottingham under the mature student provision. In order for U.S. students to be eligible to receive federal financial assistance, they must possess a secondary school completion credential or its equivalent. (See 34 CFR 600.54) Students enrolled under the mature student provision do not satisfy this requirement. Therefore, the University of Nottingham does not have the authority to obligate funds under the Federal Family Education Loan (FFEL) Program for current or new U.S. students enrolled under the mature student provision.

The Foreign Schools Team can be reached by telephone, (202) 377-3168, by fax, (202) 275-3486, or by email, osfa.foreign.schools.team@ed.gov.

Sincerely,



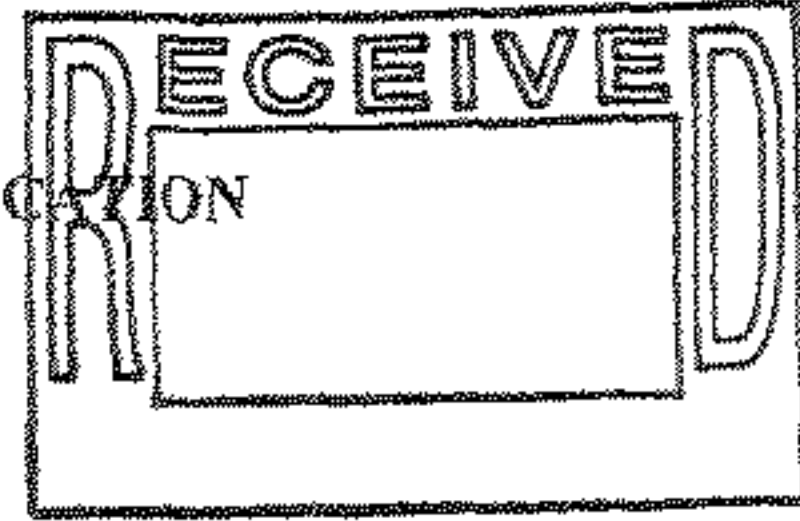
M. Geneva Coombs
Director

Enclosures

Program Participation Agreement
Eligibility and Certification Approval Report



UNITED STATES DEPARTMENT OF EDUCATION
FEDERAL STUDENT AID
CASE MANAGEMENT & OVERSIGHT



**FOREIGN SCHOOL
PROGRAM PARTICIPATION AGREEMENT
[PROVISIONAL APPROVAL]**

Effective Date of Approval: The date on which this Agreement is signed on behalf of the Secretary of Education
Approval Expiration Date: **September 30, 2005**
Reapplication Date: **June 30, 2005**

Name of Institution: **University of Nottingham**
Address of Institution: **University Park
Nottingham NG7 2RD
England**

OPE ID Number: **00892000**
DUNS Number: **211389598**

The execution of this Agreement by the Institution and the Secretary is a prerequisite to the Institution's initial or continued participation in any Title IV, HEA Program.

The postsecondary educational institution listed above, referred to hereafter as the "Institution," and the United States Secretary of Education, referred to hereafter as the "Secretary," agree that the Institution may participate in those student financial assistance programs authorized by Title IV of the Higher Education Act of 1965, as amended (Title IV, HEA Programs) indicated under this Agreement and further agrees that such participation is subject to the terms and conditions set forth in this Agreement. As used in this Agreement, the term "Department" refers to the U.S. Department of Education.

SCOPE OF COVERAGE

This Agreement applies to all locations of the Institution as stated on the most current ELIGIBILITY AND CERTIFICATION APPROVAL REPORT issued by the Department. This Agreement covers the Institution's eligibility to participate in the following listed Title IV, HEA program, and incorporates by reference the regulations cited.

FEDERAL FAMILY EDUCATION LOAN PROGRAM, 20 U.S.C. 1071 et seq; 34 CFR Part 682.

Additional Considerations

It is important to note that the University of Nottingham's Program Participation Agreement (PPA) extends approval only to the Institution's degree programs. Since the Institution did not include its certificate and diploma programs in its current application, these programs are not eligible. Therefore, the Institution does not have the authority to obligate funds under the Federal Family Education Loan (FFEL) Program for current or new U.S. students enrolled in such programs.

Furthermore, the Institution's PPA does not extend to U.S. students who are enrolled at the University of Nottingham under the mature student provision. In order for U.S. students to be eligible to receive federal financial assistance, they must possess a secondary school completion credential or its equivalent. (See 34 CFR 600.54) Students enrolled under the mature student provision do not satisfy this requirement. Therefore, the University of Nottingham does not have the authority to obligate funds under the Federal Family Education Loan (FFEL) Program for current or new U.S. students enrolled under the mature student provision.

PROVISIONAL CERTIFICATION

This provisional certification is granted for a limited period to permit the Institution to participate in the Title IV, HEA programs referenced in this Agreement. During the period of provisional certification, the participation of the Institution will be subject to revocation for cause. Cause for revocation includes, without limitation, a failure to comply with any provision set forth in this Agreement, a violation of Department regulations deemed material by the Department, or a material misrepresentation in the material submitted to the Department as part of the Institution's application process for this certification. The Department in its sole discretion may provide the Institution with an opportunity to cure any such failure, may place the Institution on reimbursement funding pending a decision regarding revocation of this Agreement by a designated Department official, or may suspend the participation of the Institution pending a decision by the Department regarding revocation of this Agreement. In the event the Department chooses to revoke this Agreement and the Institution's participation in the Title IV, HEA programs, the Institution will have the right to show cause why this Agreement should not be revoked by presenting its objections to the designated Department official in writing. The Institution agrees that this opportunity to show cause, and not the procedures in 34 CFR 668 subpart G, shall be the sole administrative appeal regarding such revocation. The decision by the designated Department official will constitute the final agency action.

Special Requirements for Substantial Changes Made During Term of Provisional Certification

Any institution, whether provisionally certified or generally certified, must apply for and receive approval by the Secretary of any substantial change (as hereinafter identified) before it may award, disburse or distribute Title IV, HEA funds based on the substantial change. Substantial changes generally include, but are not limited to: (a) establishment of an additional location; (b) change in ownership, merger or change of type of institution (such as conversion from proprietary to private nonprofit); (c) increase in the level of academic offering beyond those listed in the Institution's Eligibility and Certification Approval Report (ECAR); (d) addition of any nondegree or short-term training program that is not within the immediate scope of programs listed in the ECAR; (e) change in the form of educational measurement; (f) change of Country authorizing agency or of primary accrediting agency; or (g) any waiver or recognition of regulatory exception.

If the Institution applies for the Secretary's approval of a substantial change, it must show good cause for making any such substantial change and, in the case of any change described in (a) through (d), the Institution must demonstrate that it has the financial and administrative resources necessary to assure the Institution's continued compliance with the standards of financial responsibility (34 CFR 668.15) and administrative capability (34 CFR

668.16).

Reasons and Special Conditions of Provisional Certification

Additional Conditions

Late submission of application.

Application for Recertification

Upon completion of the period of provisional certification, if the Institution wishes to apply for recertification to participate in the Title IV, HEA programs, the Institution must submit a completed Application for Approval to Participate in Federal Student Financial Aid Programs (ED Form E40-34P), together with all required supporting documentation, no later than June 30, 2005.

GENERAL TERMS AND CONDITIONS

1. The Institution understands and agrees that it is subject to and will comply with the program statutes and implementing regulations for institutional eligibility as set forth in 34 CFR Part 600 and for each Title IV, HEA program in which it participates, as well as the general provisions set forth in Part F and Part G of Title IV of the HEA, and the Student Assistance General Provisions regulations set forth in 34 CFR Part 668.
The recitation of any portion of the statute or regulations in this Agreement does not limit University of Nottingham's obligation to comply with other applicable statutes and regulations.
2. University of Nottingham acknowledges and agrees that 34 CFR Part 602 requires legal authorization and approval of University of Nottingham by the country's authorized agency, commission, or statutory body and those agencies and Secretary may share or report information to one another about University of Nottingham without limitation.
3. University of Nottingham certifies that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by its country's government or by any approving agency as defined at 34 CFR Part 85, §§85.105 and 85.110.

SELECTED PROVISIONS FROM GENERAL PROVISIONS REGULATIONS, 34 CFR PART 668

By entering into this Program Participation Agreement, the Institution agrees that:

(1) It will comply with all statutory provisions of or applicable to Title IV of the HEA, all applicable regulatory provisions prescribed under that statutory authority, and all applicable special arrangements, agreements, and limitations entered into under the authority of statutes applicable to Title IV of the HEA, including the requirement that the institution will use funds it receives under any Title IV, HEA program and any interest or other earnings thereon, solely for the purposes specified in and in accordance with that program;

(2) It will not request from or charge any student a fee for processing or handling any application, form, or data required to determine a student's eligibility for, and amount of, Title IV, HEA program assistance;

(3) It will establish and maintain such administrative and fiscal procedures and records as may be necessary to ensure proper and efficient administration of funds received from the Secretary or from students under the Title IV, HEA programs, together with assurances that the institution will provide, upon request and in a timely manner, information relating to the administrative capability and financial responsibility of the institution to--

(i) The Secretary;

(ii) The Country's regulatory bodies or any other agency for the country or countries in which the institution

or any of the institution's branch campuses or other locations are located; and

(iii) A guaranty agency, as defined in 34 CFR part 682, that guarantees loans made under the Federal Stafford Loan, and Federal PLUS programs for attendance at the institution or any of the institution's campuses or other locations;

(4) It will comply with the provisions of §668.15 relating to factors of financial responsibility;

(5) It will comply with the provisions of §668.16 relating to standards of administrative capability;

(6) It will submit reports to the Secretary and, in the case of an institution participating in the Federal Family Education Loan (Federal Stafford Loan and Federal PLUS) Programs to the holders of loans made to the students with U.S. citizenship or eligible noncitizen status studying in that institution under this program at such times and containing such information as the Secretary may reasonably require to carry out the purpose of the Title IV, HEA programs;

(7) It will not provide any statement or certification to any lender under the Federal Stafford Loan or Federal PLUS Program that qualifies the student for a loan or loans in excess of the amount that the student is eligible to borrow in accordance with sections §§425(a), 428(a)(2), 428(b)(1)(A) and (B), and 428H of the HEA;

(8) It will comply with the requirements of Subpart D of 34 CFR part §§668.43 and 44 concerning institutional and financial assistance information for students and prospective students;

(9) In the case of an institution that advertises job placement rates as a means of attracting students to enroll in the institution, it will make available to prospective students, at or before the time that those students apply for enrollment, the most recent available data concerning employment statistics, graduation statistics, and any other information necessary to substantiate the truthfulness of the advertisements;

(10) In the case of an institution seeking to participate for the first time in the Federal Stafford Loan, and Federal PLUS Program, the institution has included a default management plan as part of its application under §668.12 for participation in those programs and will use the plan for at least two years from the date of that application;

(11) In the case of an institution that changes ownership that results in a change of control, or that changes its status as a main campus, or an additional location, the institution will, to participate in the Federal Stafford Loan and Federal PLUS Programs, develop a default management plan for approval by the Secretary and implement the plan for at least two years after the change in control or status.

(12) The Secretary, guaranty agencies, and lenders as defined in 34 CFR Part 682, country's authorized government agencies that legally authorize institutions and branch campuses or other locations to provide the postsecondary education, have the authority to share with each other any information pertaining to the institution's eligibility for or participation in the Title IV, HEA programs or any information on fraud and abuse;

(13) It will not impose any penalty, including, but not limited to, the assessment of late fees, the denial of access to classes, libraries, or other institutional facilities, or the requirement that the student borrow additional funds for which interest or other charges are assessed, on any student because of the student's inability to meet his or her financial obligations to the institution as a result of the delayed disbursement of the proceeds of a Title IV, HEA program loan due to compliance with statutory and regulatory requirements of or applicable to the Title IV, HEA programs, or delays attributable to the institution;

(14) It will not provide, nor contract with any entity that provides, any commission, bonus, or other incentive payment based directly or indirectly on success in securing enrollments or financial aid to any persons or entities engaged in any student recruiting or admission activities or in making decisions regarding the awarding of student financial assistance, except that this requirement shall not apply to the recruitment of foreign students residing in foreign countries who are not eligible to receive Federal Student Assistance. This provision does not apply to the giving of token gifts to students or alumni for referring students for admission to the institution as long as: the gift is not in the form of money, check, or money order, nor more than one such gift is given to any student or alumnus, and the gift has a value of not more than \$25;

(15) It will meet the requirements established pursuant to Part H of Title IV of the HEA by the Secretary, country's legally recognized authorized agencies;

(16) It will comply with the refund policy established in 34 CFR Part 668.22;

(17) It is liable for all improperly administered funds received or refunded under the Title IV, HEA programs,

including any funds administered by a third-party servicer;

(18) The Institution is required to compile and make available information about security policies and crime statistics in accordance with 34 CFR §668.47 and §485(f) of the HEA;

(19) (i) This Agreement becomes effective on the date that the Secretary signs the Agreement;

(ii) This Agreement supersedes any prior Agreement between the Secretary and the Institution;

(20) a. With respect to an institution that has been certified *other than under a provisional certification--*

(1) Except as provided in paragraphs (ii) and (iii) of this section, the Secretary terminates this Agreement through the proceedings in Subpart G of 34 CFR §668.

(2) An Institution may terminate this Agreement

(3) If the Secretary or the Institution terminates this Agreement under paragraph (i) of this section, the Secretary establishes the termination date.

b. With Respect to an Institution that has been *provisionally certified*, the Secretary revokes a provisional

(iii) An Institution's program participation agreement automatically expires on the date that--

(1) The Institution changes ownership that results in a change in control as determined by the Secretary under 34 CFR Part 600, or

(2) The Institution's participation ends under the provisions of 34 CFR §668.26(a)(1), and (2).

(a) An Institution's program participation Agreement no longer applies to or covers a location of the Institution as of the date on which that location ceases to be a part of the participating Institution.

IN WITNESS WHEREOF

the parties hereto have caused this Agreement to be executed by their duly authorized representatives.

Signature of Institution's
Chief Executive Officer:

C. M. Coppen

Date: 26.10.2002

Print Name and Title: PROFESSOR SIR COLIN CAMPBELL

PRESIDENT AND VICE-CHANCELLOR

For the Secretary:
U.S. Department of Education

M. Geneva Connel

Date: November 20, 2002



UNITED STATES DEPARTMENT OF EDUCATION

CASE MANAGEMENT & OVERSIGHT ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/20/2002

PAGE A - 1

NAME AND ADDRESS OF INSTITUTION:

UNIVERSITY OF NOTTINGHAM
UNIVERSITY PARK
NOTTINGHAM, ENGLAND NG7 2RD

TYPE OF INSTITUTION:

Foreign Public

CONGRESSIONAL DISTRICT:

ACTION DATE: 10/02/2002

DEPARTMENT REGION:

ACTION:

Reapprove Elig/Prov Cert

CASE TEAM:

OPE ID : 008920 00
TIN ID :
IPEDS ID :
DUNS NBR : 211389598
PIN ID :

FEDERAL PELL GRANT ID :
FEDERAL FAMILY EDUCATION LOAN ID :
FEDERAL DIRECT STUDENT LOAN ID :
FEDERAL PERKINS LOAN ID :
FEDERAL WORK - STUDY ID :
FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT ID :

008920



CASE MANAGEMENT & OVERSIGHT

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 11/20/2002

PAGE A - 2

INSTITUTION NAME : University of Nottingham

ELIGIBLE : Y

OPE ID : 008920 00

INITIAL APPROVAL DATE : 01/01/1985

CERTIFIED : Provisional

ACADEMIC CALENDAR : Semester Hours

LOAN DEFERMENT : Y

HIGHEST EDUCATIONAL PROGRAM OFFERED : Master's Degree or Doctor's Degree

PROGRAM PARTICIPATION AGREEMENT

WAIVER(S):

**** End Of Waivers ****

EFFECTIVE DATE : 11/20/2002
EXPIRATION DATE : 09/30/2005

THE INSTITUTION IS ELIGIBLE TO APPLY FOR PARTICIPATION IN THE FOLLOWING PROGRAMS AUTHORIZED UNDER THE HIGHER EDUCATION ACT OF 1965, AS AMENDED:

TITLE I : N	TITLE IV : Y	TITLE VII : N	TITLE X : N	TITLE XIII : N
TITLE II : N	TITLE V : N	TITLE VIII : N	TITLE XI : N	TITLE XIV : N
TITLE III : N	TITLE VI : N	TITLE IX : N	TITLE XII : N	TITLE XV : N

TITLE IV STUDENT FINANCIAL ASSISTANCE PROGRAMS

PROGRAM	CERTIFIED	APPROVAL DATE	PROGRAM	CERTIFIED	APPROVAL DATE
FWS Com Serv	N		FWS Priv Sec Empl	N	
FWS Job Loc Dev	N		FFEL Staff	Y	01/01/1985
FFEL Staff Unsub	Y	01/01/1985	FFEL PLUS	Y	01/01/1985
FPerkins	N		FSHOG	N	
FPall	N		FDSLIP Staff	N	
FDSLIP Staff Unsub	N		FDSLIP PLUS	N	

